

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

R.J. ZAYED, In His Capacity
As Court-Appointed Receiver
For Oxford Global Partners, LLC,
Universal Brokerage FX, and Other
Receiver Entities,

Plaintiff

Case No: 013-cv-1896 SRN/ SER

v.

David and Dao Allen, Judith Averett, Patricia and
Jasper Calandra, Rose Furner, Mark Hanby, Adel
("A.K.") Hilal, Geraldine Jackman, Norma Johnson,
Willis Wayne King, Don and Pamela Labbee,
Andrew Lyon, Jeffrey Lyon, Jeffrey Maki, Steven
Perkins, Richard Plantan, Douglas Reed, David
Sherman, John Sterback, Mark Stoltenberg, Jane
Wamsley as trustee for the Glen Van Lehn Living
Trust, Michael ("Bruce") Wu, Robert and Dianne
Birk, Margaret Anderson, Mary Francoeur, George
and Shirley Janssen, Joseph Koehnen, and Katherine
Sobieck,

Defendants.

**DECLARATION OF JOSEPH M. KACZROWSKI IN SUPPORT OF
RECEIVER'S APPLICATION FOR ENTRY OF DEFAULT AGAINST
DEFENDANT RICHARD PLANTAN**

I, Joseph M. Kaczrowski, hereby declare:

1. I am an attorney for Plaintiff R.J. Zayed, the Court-appointed Receiver in the civil cases of *United States Securities and Exchange Commission v. Trevor Cook et al.*, Civil Action File No. 09-cv-3333 (D. Minn. 2009) and *United States Commodity*

Futures Trading Commission v. Trevor Cook et al., Civil Action File No. 09-cv-3332 (D. Minn. 2009) (“Receiver”). I submit this declaration in support of the Receiver’s Application to the Clerk for Entry of Default against Defendant Richard Plantan. This declaration is submitted on my personal knowledge except as otherwise indicated.

2. On July 15, 2013, the Receiver filed a complaint against several individuals, including Defendant Plantan. *Complaint*, 13-cv-1896 Docket 1 (Jul 15, 2013).

3. Defendant Plantan was served on September 5, 2013. *Affidavit of Service*, 13-cv-1896 Docket 20 (Sep. 26, 2013). Under Rule 12(a)(1)(A), Defendant Plantan’s answer was due on September 26, 2013.

4. As of today’s date, Defendant Plantan has not filed an answer or otherwise responded to the Receiver’s Complaint, nor has Defendant sought an extension of time to answer or respond.

5. Upon information and belief, Defendant Plantan is not a minor, legally incompetent or a member of the military on active duty.

I state under penalty of perjury that the foregoing is true and correct.

Dated: October 15, 2013

s/ Joseph M. Kaczrowski
Joseph M. Kaczrowski