

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

R.J. ZAYED, In His Capacity
As Court-Appointed Receiver
For Oxford Global Partners, LLC,
Universal Brokerage FX, and Other
Receiver Entities,

Plaintiff

Case No: 013-cv-1896 SRN/ SER

v.

David and Dao Allen, Judith Averett, Patricia and
Jasper Calandra, Rose Furner, Mark Hanby, Adel
("A.K.") Hilal, Geraldine Jackman, Norma Johnson,
Willis Wayne King, Don and Pamela Labbee,
Andrew Lyon, Jeffrey Lyon, Jeffrey Maki, Steven
Perkins, Richard Plantan, Douglas Reed, David
Sherman, John Sterback, Mark Stoltenberg, Jane
Wamsley as trustee for the Glen Van Lehn Living
Trust, Michael ("Bruce") Wu, Robert and Dianne
Birk, Margaret Anderson, Mary Francoeur, George
and Shirley Janssen, Joseph Koehnen, and Katherine
Sobieck,

Defendants.

**DECLARATION OF JOSEPH M. KACZROWSKI IN SUPPORT OF
RECEIVER'S MOTION FOR DEFAULT JUDGMENT AGAINST DEFENDANT
STEVEN PERKINS**

I, Joseph M. Kaczrowski, hereby declare:

1. I am an attorney for Plaintiff R.J. Zayed, the Court-appointed Receiver in the civil cases of *United States Securities and Exchange Commission v. Trevor Cook et al.*, Civil Action File No. 09-cv-3333 (D. Minn. 2009) and *United States Commodity*

Futures Trading Commission v. Trevor Cook et al., Civil Action File No. 09-cv-3332 (D. Minn. 2009) (“Receiver”). I submit this declaration in support of the Receiver’s Motion for Default Judgment against Defendant Steven Perkins. This declaration is submitted on my personal knowledge except as otherwise indicated.

2. On July 15, 2013, the Receiver filed a complaint against several individuals, including Defendant Perkins. *Complaint*, 13-cv-1896 Docket 1 (Jul 15, 2013).

3. Defendant Perkins was served on September 5, 2013. *Affidavit of Service*, 13-cv-1896 Docket 19 (Sep. 26, 2013). Under Rule 12(a)(1)(A), Defendant Perkins’s answer was due on September 26, 2013.

4. On or about September 30, 2013, the Receiver and Defendant Perkins agreed to extend Defendant Perkins’s time to respond to October 21, 2013. *Stipulation to Extend Time to Answer*, 13-cv-1896 Docket 37 (Oct. 2, 2013). On October 3, 2013, the Court granted Defendant Perkins an extension of time to respond to the Complaint, by answer or otherwise, to and including October 21, 2013. *Order to Extend Time to Answer*, 13-cv-1896 Docket 39 (Oct. 3, 2013).

5. Defendant Perkins did not file an answer or otherwise respond to the Receiver’s Complaint, and the Clerk recorded an Entry of Default against Defendant Perkins on November 6, 2013. *Entry of Default*, 13-cv-1896 Docket 94 (Nov. 6, 2013).

6. Defendant Perkins received transfers from Receivership Entities totaling \$146,849.00. Attached hereto as **Exhibit 1** are true and correct (redacted) copies of bank

records of transfers to Defendant Perkins from Receivership Entities. *See also Complaint*, 13-cv-1896 Docket 1 ¶ 94 (Jul. 15, 2013).

7. Defendant Perkins transferred a total of \$132,00.00 to Receivership Entities. Attached hereto as **Exhibit 2** are true and correct (redacted) copies of bank records of transfers by Defendant Perkins to Receivership Entities. *See also Complaint*, 13-cv-1896 Docket 1 ¶ 95 (Jul. 15, 2013).

I state under penalty of perjury that the foregoing is true and correct.

Dated: November 8, 2013

s/ Joseph M. Kaczrowski
Joseph M. Kaczrowski