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UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES  
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/FLN

v.

TREVOR COOK d/b/a CROWN  
FOREX, LLC, PATRICK KILEY d/b/a  
CROWN FOREX, LLC, UNIVERSAL  
BROKERAGE FX and UNIVERSAL  
BROKERAGE FX DIVERSIFIED, OXFORD  
GLOBAL PARTNERS, LLC, OXFORD  
GLOBAL ADVISORS, LLC, UNIVERAL  
BROKERAGE FX ADVISORS, LLC f/k/a  
UBS DIVERSIFIED FX ADVISORS, LLC,  
UNIVERSAL BROKERAGE FX  
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX  
GROWTH L.P., UNIVERSAL BROKERAGE  
FX MANAGEMENT, LLC f/k/a UBS  
DIVERSIFIED FX MANAGEMENT, LLC  
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

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UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/FLN

Plaintiff(s)

v.

TREVOR G. COOK,  
PATRICK J. KILEY,  
UBS DIVERSIFIED GROWTH, LLC,  
UNIVERSAL BROKERAGE FX  
MANAGEMENT, LLC,  
OXFORD GLOBAL ADVISORS, LLC,  
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,  
CROWN FOREX, LLC,  
MARKET SHOT, LLC,  
PFG COIN AND BULLION,  
OXFORD DEVELOPERS, S.A.,  
OXFORD FX GROWTH, L.P.,  
OXFORD GLOBAL MANAGED  
FUTURES FUND, L.P., UBS DIVERSIFIED  
FX ADVISORS, LLC, UBS DIVERSIFIED  
FX GROWTH, L.P., UBS DIVERSIFIED  
FX MANAGEMENT, LLC, CLIFFORD  
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

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**RECEIVER'S NOTICE OF DEPOSITION OF RESPONDENT**  
**GEORGE MORISSET**

TO:

William F. Mohrman, Esq.  
MOHRMAN & KAARDAL, P.A.  
33 South Sixth Street, Suite 4100  
Minneapolis, MN 55402

PLEASE TAKE NOTICE that, pursuant to Rules 26 and 30 of the Federal Rules of Civil Procedure and the Order Allowing Summary Proceedings (SEC Docket No. 380), the Petitioner, Receiver R.J. Zayed, will take the deposition on oral testimony of George Morisset at 9:00 a.m. on April 28, 2011 at the offices of Carlson, Caspers, Vandenburg, and Lindquist, 225 South Sixth Street, Suite 3200, Minneapolis, Minnesota or such other time and place mutually agreed upon by counsel. The deposition will be recorded by stenographic means and may be recorded by video before an officer authorized to administer oaths. You are invited to attend and cross-examine.

DATE: April 1, 2011

By:   
R.J. Zayed (MN Bar No. 309,849)  
Tara C. Norgard (MN Bar No. 307,683)  
Marlee A. Jansen (MN Bar No. 389,428)  
Peter M. Kohlhepp (MN Bar No. 390,454)  
Carlson, Caspers, Vandenburg & Lindquist  
225 S. 6<sup>th</sup> Street, Suite 3200  
Minneapolis, MN 55402  
Telephone: (612) 436-9600  
Facsimile: (612) 436-9605  
Email: pkohlhepp@ccvl.com

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DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES  
TRADING COMMISSION,

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BROKERAGE FX DIVERSIFIED, OXFORD  
GLOBAL PARTNERS, LLC, OXFORD  
GLOBAL ADVISORS, LLC, UNIVERAL  
BROKERAGE FX ADVISORS, LLC f/k/a  
UBS DIVERSIFIED FX ADVISORS, LLC,  
UNIVERSAL BROKERAGE FX  
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX  
GROWTH L.P., UNIVERSAL BROKERAGE  
FX MANAGEMENT, LLC f/k/a UBS  
DIVERSIFIED FX MANAGEMENT, LLC  
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

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DISTRICT OF MINNESOTA

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Case No: 09-cv-3333 MJD/FLN

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PATRICK J. KILEY,  
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UNIVERSAL BROKERAGE FX  
MANAGEMENT, LLC,  
OXFORD GLOBAL ADVISORS, LLC,  
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,  
CROWN FOREX, LLC,  
MARKET SHOT, LLC,  
PFG COIN AND BULLION,  
OXFORD DEVELOPERS, S.A.,  
OXFORD FX GROWTH, L.P.,  
OXFORD GLOBAL MANAGED  
FUTURES FUND, L.P., UBS DIVERSIFIED  
FX ADVISORS, LLC, UBS DIVERSIFIED  
FX GROWTH, L.P., UBS DIVERSIFIED  
FX MANAGEMENT, LLC, CLIFFORD  
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

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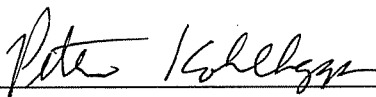
**RECEIVER'S NOTICE OF DEPOSITION OF RESPONDENT**  
**MICHAEL HEISE**

TO:

William F. Mohrman, Esq.  
MOHRMAN & KAARDAL, P.A.  
33 South Sixth Street, Suite 4100  
Minneapolis, MN 55402

PLEASE TAKE NOTICE that, pursuant to Rules 26 and 30 of the Federal Rules of Civil Procedure and the Order Allowing Summary Proceedings (SEC Docket No. 380), the Petitioner, Receiver R.J. Zayed, will take the deposition on oral testimony of Michael Heise at 1:00 p.m. on April 26, 2011 at the offices of Carlson, Caspers, Vandenburg, and Lindquist, 225 South Sixth Street, Suite 3200, Minneapolis, Minnesota or such other time and place mutually agreed upon by counsel. The deposition will be recorded by stenographic means and may be recorded by video before an officer authorized to administer oaths. You are invited to attend and cross-examine.

DATE: April 1, 2011

By:   
\_\_\_\_\_  
R.J. Zayed (MN Bar No. 309,849)  
Tara C. Norgard (MN Bar No. 307,683)  
Marlee A. Jansen (MN Bar No. 389,428)  
Peter M. Kohlhepp (MN Bar No. 390,454)  
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UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES  
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/FLN

v.

TREVOR COOK d/b/a CROWN  
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CROWN FOREX, LLC, UNIVERSAL  
BROKERAGE FX and UNIVERSAL  
BROKERAGE FX DIVERSIFIED, OXFORD  
GLOBAL PARTNERS, LLC, OXFORD  
GLOBAL ADVISORS, LLC, UNIVERAL  
BROKERAGE FX ADVISORS, LLC f/k/a  
UBS DIVERSIFIED FX ADVISORS, LLC,  
UNIVERSAL BROKERAGE FX  
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX  
GROWTH L.P., UNIVERSAL BROKERAGE  
FX MANAGEMENT, LLC f/k/a UBS  
DIVERSIFIED FX MANAGEMENT, LLC  
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

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UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/FLN

Plaintiff(s)

v.

TREVOR G. COOK,  
PATRICK J. KILEY,  
UBS DIVERSIFIED GROWTH, LLC,  
UNIVERSAL BROKERAGE FX  
MANAGEMENT, LLC,  
OXFORD GLOBAL ADVISORS, LLC,  
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,  
CROWN FOREX, LLC,  
MARKET SHOT, LLC,  
PFG COIN AND BULLION,  
OXFORD DEVELOPERS, S.A.,  
OXFORD FX GROWTH, L.P.,  
OXFORD GLOBAL MANAGED  
FUTURES FUND, L.P., UBS DIVERSIFIED  
FX ADVISORS, LLC, UBS DIVERSIFIED  
FX GROWTH, L.P., UBS DIVERSIFIED  
FX MANAGEMENT, LLC, CLIFFORD  
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

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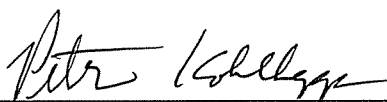
**RECEIVER'S NOTICE OF DEPOSITION OF RESPONDENT**  
**LARRY HOPFENSPIRGER**

TO:

William F. Mohrman, Esq.  
MOHRMAN & KAARDAL, P.A.  
33 South Sixth Street, Suite 4100  
Minneapolis, MN 55402

PLEASE TAKE NOTICE that, pursuant to Rules 26 and 30 of the Federal Rules of Civil Procedure and the Order Allowing Summary Proceedings (SEC Docket No. 380), the Petitioner, Receiver R.J. Zayed, will take the deposition on oral testimony of Larry Hopfenspirger at 1:00 p.m. on April 20, 2011 at the offices of Carlson, Caspers, Vandenburg, and Lindquist, 225 South Sixth Street, Suite 3200, Minneapolis, Minnesota or such other time and place mutually agreed upon by counsel. The deposition will be recorded by stenographic means and may be recorded by video before an officer authorized to administer oaths. You are invited to attend and cross-examine.

DATE: April 1, 2011

By:   
\_\_\_\_\_  
R.J. Zayed (MN Bar No. 309,849)  
Tara C. Norgard (MN Bar No. 307,683)  
Marlee A. Jansen (MN Bar No. 389,428)  
Peter M. Kohlhepp (MN Bar No. 390,454)  
Carlson, Caspers, Vandenburg & Lindquist  
225 S. 6<sup>th</sup> Street, Suite 3200  
Minneapolis, MN 55402  
Telephone: (612) 436-9600  
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Email: pkohlhepp@ccvl.com

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UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES  
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/FLN

v.

TREVOR COOK d/b/a CROWN  
FOREX, LLC, PATRICK KILEY d/b/a  
CROWN FOREX, LLC, UNIVERSAL  
BROKERAGE FX and UNIVERSAL  
BROKERAGE FX DIVERSIFIED, OXFORD  
GLOBAL PARTNERS, LLC, OXFORD  
GLOBAL ADVISORS, LLC, UNIVERAL  
BROKERAGE FX ADVISORS, LLC f/k/a  
UBS DIVERSIFIED FX ADVISORS, LLC,  
UNIVERSAL BROKERAGE FX  
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX  
GROWTH L.P., UNIVERSAL BROKERAGE  
FX MANAGEMENT, LLC f/k/a UBS  
DIVERSIFIED FX MANAGEMENT, LLC  
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

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UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/FLN

Plaintiff(s)

v.

TREVOR G. COOK,  
PATRICK J. KILEY,  
UBS DIVERSIFIED GROWTH, LLC,  
UNIVERSAL BROKERAGE FX  
MANAGEMENT, LLC,  
OXFORD GLOBAL ADVISORS, LLC,  
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,  
CROWN FOREX, LLC,  
MARKET SHOT, LLC,  
PFG COIN AND BULLION,  
OXFORD DEVELOPERS, S.A.,  
OXFORD FX GROWTH, L.P.,  
OXFORD GLOBAL MANAGED  
FUTURES FUND, L.P., UBS DIVERSIFIED  
FX ADVISORS, LLC, UBS DIVERSIFIED  
FX GROWTH, L.P., UBS DIVERSIFIED  
FX MANAGEMENT, LLC, CLIFFORD  
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

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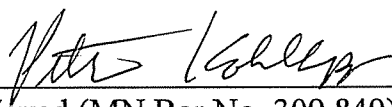
**RECEIVER'S NOTICE OF DEPOSITION OF RESPONDENT**  
**JENENE FREDELL**

TO:

William F. Mohrman, Esq.  
MOHRMAN & KAARDAL, P.A.  
33 South Sixth Street, Suite 4100  
Minneapolis, MN 55402

PLEASE TAKE NOTICE that, pursuant to Rules 26 and 30 of the Federal Rules of Civil Procedure and the Order Allowing Summary Proceedings (SEC Docket No. 380), the Petitioner, Receiver R.J. Zayed, will take the deposition on oral testimony of Jenene Fredell at 1:00 p.m. on April 21, 2011 at the offices of Carlson, Caspers, Vandenburg, and Lindquist, 225 South Sixth Street, Suite 3200, Minneapolis, Minnesota or such other time and place mutually agreed upon by counsel. The deposition will be recorded by stenographic means and may be recorded by video before an officer authorized to administer oaths. You are invited to attend and cross-examine.

DATE: April 1, 2011

By:   
\_\_\_\_\_  
R.J. Zayed (MN Bar No. 309,849)  
Tara C. Norgard (MN Bar No. 307,683)  
Marlee A. Jansen (MN Bar No. 389,428)  
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