
UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/FLN

v.

TREVOR COOK d/b/a CROWN
FOREX, LLC, PATRICK KILEY d/b/a
CROWN FOREX, LLC, UNIVERSAL
BROKERAGE FX and UNIVERSAL
BROKERAGE FX DIVERSIFIED, OXFORD
GLOBAL PARTNERS, LLC, OXFORD
GLOBAL ADVISORS, LLC, UNIVERAL
BROKERAGE FX ADVISORS, LLC f/k/a
UBS DIVERSIFIED FX ADVISORS, LLC,
UNIVERSAL BROKERAGE FX
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX
GROWTH L.P., UNIVERSAL BROKERAGE
FX MANAGEMENT, LLC f/k/a UBS
DIVERSIFIED FX MANAGEMENT, LLC
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/FLN

Plaintiff(s)

v.

TREVOR G. COOK,
PATRICK J. KILEY,
UBS DIVERSIFIED GROWTH, LLC,
UNIVERSAL BROKERAGE FX
MANAGEMENT, LLC,
OXFORD GLOBAL ADVISORS, LLC,
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,
CROWN FOREX, LLC,
MARKET SHOT, LLC,
PFG COIN AND BULLION,
OXFORD DEVELOPERS, S.A.,
OXFORD FX GROWTH, L.P.,
OXFORD GLOBAL MANAGED
FUTURES FUND, L.P., UBS DIVERSIFIED
FX ADVISORS, LLC, UBS DIVERSIFIED
FX GROWTH, L.P., UBS DIVERSIFIED
FX MANAGEMENT, LLC, CLIFFORD
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

**DECLARATION OF TARA C. NORGDARD IN SUPPORT OF
RECEIVER'S SUR-REPLY IN OPPOSITION TO
TREVOR COOK'S MOTION TO PURGE CONTEMPT**

I, Tara C. Norgard, hereby declare:

1. I am an attorney with the firm of Carlson, Caspers, Vandenburg and Lindquist ("CCVL"). I work with the Receiver, R.J. Zayed, and am his designee in the above-referenced actions. I submit this declaration in support of the Receiver's Sur-Reply in Opposition to Trevor Cook's Motion to Purge Contempt. This declaration is submitted on my personal knowledge except as otherwise indicated.

2. Attached hereto as **Exhibit 1** is a true and correct copy of the unofficial rough draft of the transcript of the hearing on Cook's Motion to Purge the Contempt, held on September 29, 2010.

3. Attached hereto as **Exhibit 2** is a true and correct copy of a printout from the Minnesota Historical Society's online Death Certificate Index showing that Stephany Ruth Metzger died on September 24, 1995.

4. Attached hereto as **Exhibit 3** are true and correct copies of receipts from Landau The Rio, in Las Vegas Nevada, dated May 27, 2007 and May 28, 2007.

5. Since the September 29, 2010 hearing in this matter, counsel for the Receiver, along with representatives from the SEC, IRS, and FBI, have met with

6. Attached hereto as **Exhibit 4** are true and correct copies of excerpted pages from the transcript of the October 5, 2010 interview of Trevor Cook by representatives of the SEC and counsel for the Receiver.

7. Attached hereto as **Exhibit 5** are true and correct copies of excerpted pages from the transcript of the October 27, 2010 interview of Trevor Cook by representatives of the SEC and counsel for the Receiver.

8. The Receiver has uncovered additional instances, beyond those detailed in Exhibit 4, above, of Cook using other people's names to conduct business.

9. At the October 6, 2010 interview, counsel for the Receiver provided Cook with a hard copy list of all investors who have been identified to date and asked Cook to review the list and come back with any information that would be helpful to the Receiver's effort to recover assets. Although Cook's counsel failed to make accommodations for him to bring that list back to the Receiver, with the help of the U.S. Marshal, Cook eventually was able to produce the list with his handwritten notes. The Receiver has not yet had the opportunity to fully question Cook about that list or the notes that he wrote. However, based on initial discussions with Cook on this topic, it appears that his notes may be helpful in verifying investor claims and identifying additional clawback targets.

I state under penalty of perjury that the foregoing is true and correct.

Dated: November 1, 2010

s/Tara C. Norgard
Tara C. Norgard