
UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/JJK

v.

TREVOR COOK d/b/a CROWN
FOREX, LLC, PATRICK KILEY d/b/a
CROWN FOREX, LLC, UNIVERSAL
BROKERAGE FX and UNIVERSAL
BROKERAGE FX DIVERSIFIED, OXFORD
GLOBAL PARTNERS, LLC, OXFORD
GLOBAL ADVISORS, LLC, UNIVERAL
BROKERAGE FX ADVISORS, LLC f/k/a
UBS DIVERSIFIED FX ADVISORS, LLC,
UNIVERSAL BROKERAGE FX
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX
GROWTH L.P., UNIVERSAL BROKERAGE
FX MANAGEMENT, LLC f/k/a UBS
DIVERSIFIED FX MANAGEMENT, LLC
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/JJK

Plaintiff(s)

v.

TREVOR G. COOK,
PATRICK J. KILEY,
UBS DIVERSIFIED GROWTH, LLC,
UNIVERSAL BROKERAGE FX
MANAGEMENT, LLC,
OXFORD GLOBAL ADVISORS, LLC,
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,
CROWN FOREX, LLC,
MARKET SHOT, LLC,
PFG COIN AND BULLION,
OXFORD DEVELOPERS, S.A.,
OXFORD FX GROWTH, L.P.,
OXFORD GLOBAL MANAGED
FUTURES FUND, L.P., UBS DIVERSIFIED
FX ADVISORS, LLC, UBS DIVERSIFIED
FX GROWTH, L.P., UBS DIVERSIFIED
FX MANAGEMENT, LLC, CLIFFORD
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

**DECLARATION OF TARA C. NORGDARD
IN SUPPORT OF RECEIVER'S MOTION FOR AN ORDER FOR A RULE
TO SHOW CAUSE AGAINST L. EDWARD BAKER**

I, Tara C. Norgard, hereby declare:

1. I am an attorney with the law firm of Carlson, Caspers, Vandenburg and Lindquist ("CCVL"). I submit this declaration in support of the Motion for an Order for a Rule to Show Cause Against L. Edward Baker. This declaration is submitted on my personal knowledge except as otherwise indicated.
2. Attached as Exhibit A is a true and correct copy of the Amended Complaint and Jury Demand filed in *Cook v. Baker*, No. 27-CV-09-21495 (Hennepin County).
3. Attached as Exhibit B is a true and correct copy of the Answer to Amended Complaint filed in *Cook v. Baker*, No. 27-CV-09-21495 (Hennepin County).
4. Attached as Exhibit C is a true and correct copy of the Mesa Holdings stock transferred to Oxford Global FX in exchange for Oxford's payment of \$2 million.
5. Attached as Exhibit D is a true and correct copy of an email sent from a Mesa Holdings employee to Trevor Cook confirming \$1.05 million in payments from Oxford Global FX to Mesa Holdings.
6. Attached as Exhibit E is a true and correct copy of the zero coupon note issued by Mesa Holdings to Oxford Global, FX in exchange for \$500,000.

7. Attached as Exhibit F are true and correct copies of the letters confirming Trevor Cook's two \$100,000 investments in G5 currency trading funds.

8. On or around December 3, 2009, I spoke with Baker's counsel regarding this Court's Receivership Orders and the Hennepin County case.

9. Attached as Exhibit G is a true and correct copy of the stipulation filed on December 9, 2009, in the Hennepin County action.

10. Attached as Exhibit H is a true and correct copy of the letter sent on January 20, 2010, to the Honorable George F. McGunnigle of the Hennepin County District Court. The enclosures with that letter included this Court's *Second Amended Order Appointing Receiver*, SEC Docket No. 68 (Dec. 11, 2009), and *Order Continuing Appointment of Temporary Receiver*, CFTC Docket No. 96 (Dec. 11, 2009).

11. I participated in a meeting with Baker's counsel and the Receiver on May 20, 2010. In that meeting, the Receiver made clear that Baker was subject to this Court's Receivership and Freeze Orders. The Receiver also explicitly told Baker about the Receivership's claims against Baker for return of the investor money that flowed to him and his business entities from the defrauded investors. After that meeting, other Receivership attorneys and I continued to work and meet with Baker and his attorneys in an attempt to reach a global settlement of the Hennepin County lawsuit and the other (yet unfiled) claims the Receiver had against Baker.

I state under penalty of perjury that the foregoing is true and correct.

Dated: August 11, 2010

s/Tara C. Norgard
Tara C. Norgard