



SEC v. Cook et al., 09-cv-3333 and *CFTC v. Cook et al.*, 09-cv-3332
 Chief Judge Michael J. Davis, United States District Court for the District of Minnesota

Investor Information Form

If you have invested with or through any Defendant or Relief Defendant in *United States Securities & Exchange Commission v. Cook et al.*, 09-cv-3333 (D. Minn.) and *United States Commodities Futures Trading Commission v. Cook et al.*, 09-cv-3332 (D. Minn.), and have not already submitted your information to the Receiver, please do by providing the information requested below, by U.S. Mail, to:

Receiver in
SEC v. Cook et al., 09-cv-3333 and *CFTC v. Cook et al.*, 09-cv-3332
 c/o Carlson, Caspers, Vandenburg & Lindquist
 P.O. Box 2908
 Minneapolis, MN 55402

Contact Information

(your name)

(street address)

(city)

(state)

(zip)

(phone number where you can be reached)

(email address)

Investment Information

Please provide information related to your investment(s) with the Defendants and Relief Defendants. If you invested with more than one entity, please provide the requested information for each entity. A listing of the Defendants and Relief Defendants is provided below for your reference.

- Basel Group, LLC
- Crown Forex, LLC
- Crown Forex, SA
- Universal Brokerage FX and Universal Brokerage FX Diversified
- Universal Brokerage FX Advisors, LLC (fka UBS Diversified FX Advisors, LLC)
- Universal Brokerage FX Growth L.P. (fka UBS Diversified FX Growth L.P.)
- Universal Brokerage FX Management LLC (fka UBS Diversified FX Management, LLC)
- UBS Diversified Growth, LLC
- Market Shot, LLC
- Oxford Developers, S.A.
- Oxford Global Partners, LLC
- Oxford Global Advisors, LLC
- Oxford Global FX, LLC
- Oxford FX Growth, L.P.
- Oxford Global Managed Futures Fund, L.P.

1. The dates and amounts of each investment you made with any Defendant or Relief Defendant (please attach a separate piece of paper with this information if you need more room).

| Date | Amount | Defendant or Relief Defendant with whom you invested |
|------|--------|--|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

2. Did you send your money through a third party? If so, please identify that third party below.

I did not send my money through a third party

Entrust

Millennium

Other _____

3. What institution were you told would hold the money you invested?

4. What is (are) your account number(s) for the investments with the Defendants and Relief Defendants that you identified above?

Defendant or Relief Defendant

Account #

5. Did you receive any disbursements from this (these) account(s)? If so, please provide the dates and amounts of each disbursement (please attach a separate piece of paper with this information if you need more room).

| Date | Account # | Amount of disbursement |
|-------|-----------|------------------------|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

6. Please provide the date and amount of your last known account balance(s).

| Date | Account # | Account balance |
|-------|-----------|-----------------|
| _____ | _____ | _____ |
| _____ | _____ | _____ |
| _____ | _____ | _____ |

7. Do you have copies of bank statements, canceled checks (front and back of check returned to you from your bank), wire transfers, or other documents from your bank that show where your money was deposited or sent? If so, please send copies of those documents with this completed form.

