
UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

R.J. Zayed, in his Capacity as Court-Appointed
Receiver for Trevor G. Cook et al.,

Petitioner,

v.

Case No: 11-cv-01042 SRN/FLN

David Buysse, Steven and Pamela Cheney,
Walter Defiel, John Dzik, Terry Frahm,
Steven and Jenene Fredell, William Harris,
Michael and Jennifer Heise,
Michael and Cynthia Hillesheim, Larry Hopfenspirger,
Steven Kautzman, James McIntosh,
George and Karen Morrisset, Reynold Sundstrom, and
Dot Anderson,

Respondents.

**CORRECTED DECLARATION OF MARLEE A. JANSEN
IN SUPPORT OF RECEIVER'S MOTION FOR SUMMARY JUDGMENT**

I, Marlee A. Jansen, hereby declare:

1. I am an attorney with the law firm of Carlson, Caspers, Vandenburg and Lindquist ("CCVL"), counsel for the Court-appointed Receiver R.J. Zayed ("the Receiver") in the civil cases of *United States Securities and Exchange Commission v. Trevor Cook et al.*, Civil Action File No. 09-cv-3333 (D. Minn. 2009) and *United States Commodity Futures Trading Commission v. Trevor Cook et al.*, Civil Action File No. 09-cv-3332 (D. Minn. 2009). I submit this declaration in support of the Receiver's Motion for Summary Judgment. This declaration is submitted on my personal knowledge except as otherwise indicated.

Pursuant to the Protective Order entered in this case (Docket No. 67), the Receiver designated as “Confidential” or “Confidential—Attorneys’ Eyes Only” all documents containing sensitive information, such as personal identifiers and account numbers, belonging to investors and the Receiver Estates. To the extent that these designated documents are attached as exhibits hereto, they are being filed under seal in accordance with the Court’s Protective Order. At the end of the day on Monday, December 19, 2011, counsel for Steven and Pamela Cheney, David Buysse, Walter Defiel, Steven and Jenene Fredell, Michael Heise, Michael and Cynthia Hillesheim, Larry Hopfenspirger, Steven Kautzman, James McIntosh, George and Karen Morisset, Terry Frahm, and Reynold Sundstrom (the “Berg Investors”) requested that the Receiver immediately de-designate “all of the depositions and all of the exhibits thereto” in this case. Counsel for the Receiver considered the request but determined that it was impossible within the given timeframe to review, de-designate and redact hundreds of exhibits containing highly sensitive information with the detail and care that task deserves. Nevertheless, the Receiver advised counsel for the Berg Investors that he does not object to them filing exhibits that contain only their own confidential information on ECF with appropriate redactions.

2. Attached hereto as Exhibit 1 is a true and correct copy of the Plea Agreement between the United States and Trevor G. Cook (“Cook”), dated April 13, 2010 and filed in the case of *United States v. Cook*, 10-cr-75(Docket No. 7) (D. Minn.). **Filed under seal.**

3. Attached hereto as Exhibit 2 is a true and correct copy of the Declaration of Scott J. Hlavacek, dated November 20, 2009 and filed in the case of *SEC v. Cook et al.*, 09-cv-3333 (Docket No. 4) (D. Minn.).

4. Attached hereto as Exhibit 3 is a true and correct copy of Exhibit 19 to the Declaration of Scott J. Hlavacek, dated November 20, 2009 and filed in the case of *SEC v. Cook et al.*, 09-cv-3333 (Docket No. 4-3) (D. Minn.).

5. Attached hereto as Exhibit 4 is a true and correct copy of the Plea Agreement between the United States and Christopher J. Pettengill, dated April 13, 2010 and filed in the case of *United States v. Pettengill*, 11-cr-192 (Docket No. 6) (D. Minn.). **Filed under seal.**

6. Attached hereto as Exhibit 5 is a true and correct copy of the Second Amended Verified Complaint dated September 11, 2009 and filed in the case of *Phillips et al. v. Cook et al.*, 09-cv-1732 (Docket No. 122) (D. Minn.).

7. Attached hereto as Exhibit 6 is a true and correct copy of the Superseding Indictment filed by the United States against Jason “Bo” Beckman, Gerald Durand, and Patrick Kiley on November 22, 2011 in the case of *United States v. Beckman et al.*, 11-cr-228 (Docket No. 134) (D. Minn.).

8. Attached hereto as Exhibit 7 is a true and correct copy of the Declaration of Luz. M. Aguilar, dated March 8, 2011 and filed in the case of *SEC v. Beckman et al.*, 11-cv-574 (Docket No. 4) (D. Minn.).

9. Attached hereto as Exhibit 8 is a true and correct copy of the deposition transcript of Cook taken on July 20, 2011 in Greenville, Illinois.

10. Attached hereto as Exhibit 9 is a true and correct copy of the deposition transcript of Gina Berg f/k/a Gina Cook taken on September 9, 2011 in Minneapolis, Minnesota.

11. Attached hereto as Exhibit 10 is a true and correct copy of the Transcript of Proceedings held on December 4, 2009 in the case of *SEC v. Cook et al.*, 09-cv-3333 (Docket No. 207) (D. Minn.).

12. Attached hereto as Exhibit 11 is a true and correct copy of the Receiver's First Amended Final Claims List [IR029268 – IR029280]. **Filed under seal.**

13. Attached hereto as Exhibit 12 is a true and correct copy of the deposition transcript of Trevor Cook taken on October 5, 2010 in Minneapolis, Minnesota [IR018255-IR018524]. **Filed under seal.**

14. Attached hereto as Exhibit 13 is a true and correct copy of the deposition transcript of Grant Grzybowski taken on September 2, 2011 in Minneapolis, Minnesota.

15. Attached hereto as Exhibit 14 is a true and correct copy of the deposition transcript of Trevor Cook taken on October 6, 2010 in Minneapolis, Minnesota [IR018748-IR019044]. **Filed under seal.**

16. Attached hereto as Exhibit 15 is a true and correct copy of an Associated Bank withdrawal slip in the amount of \$3,223,600.00. [IR024966]. **Filed under seal.**

- a. Attached hereto as Exhibit 15.1 is a true and correct copy of an Associated Bank cashier's check for \$747,500.00 dated June 29, 2009 and payable to John Dzik. [IR024967]. **Filed under seal.**
- b. Attached hereto as Exhibit 15.2 is a true and correct copy of an Associated Bank cashier's check for \$22,000.00 dated June 29, 2009 and payable to George Morisset. [IR024968]. **Filed under seal.**
- c. Attached hereto as Exhibit 15.3 is a true and correct copy of an Associated Bank cashier's check for \$39,050.00 dated June 29, 2009 and payable to Karen Morisset. [IR024969]. **Filed under seal.**
- d. Attached hereto as Exhibit 15.4 is a true and correct copy of an Associated Bank cashier's check for \$119,550.00 dated June 29, 2009 and payable to Steven Kautzman. [IR024970]. **Filed under seal.**
- e. Attached hereto as Exhibit 15.5 is a true and correct copy of an Associated Bank cashier's check for \$250,000.00 dated June 29, 2009 and payable to James McIntosh. [IR024971]. **Filed under seal.**
- f. Attached hereto as Exhibit 15.6 is a true and correct copy of an Associated Bank cashier's check for \$25,700.00 dated June 29,

2009 and payable to Jenene Fredell. [IR024972]. **Filed under seal.**

- g. Attached hereto as Exhibit 15.7 is a true and correct copy of an Associated Bank cashier's check for \$243,250.00 dated June 29, 2009 and payable to Steve Fredell. [IR024973]. **Filed under seal.**
- h. Attached hereto as Exhibit 15.8 is a true and correct copy of an Associated Bank cashier's check for \$48,900.00 dated June 29, 2009 and payable to Michael Hillesheim. [IR024974]. **Filed under seal.**
- i. Attached hereto as Exhibit 15.9 is a true and correct copy of an Associated Bank cashier's check for \$44,250.00 dated June 29, 2009 and payable to Cyndi Hillesheim. [IR024975]. **Filed under seal.**
- j. Attached hereto as Exhibit 15.10 is a true and correct copy of an Associated Bank cashier's check for \$85,450.00 dated June 29, 2009 and payable to Reynold Sundstrom. [IR024976]. **Filed under seal.**
- k. Attached hereto as Exhibit 15.11 is a true and correct copy of an Associated Bank cashier's check for \$360,700.00 dated June 29, 2009 and payable to David Buysse. [IR024977]. **Filed under seal.**

- c. Attached hereto as Exhibit 16.3 is a true and correct copy of a Wells Fargo cashier's check for \$278,143.00 dated June 29, 2009 and payable to Cliff Berg. [IR016911]. **Filed under seal.**
- d. Attached hereto as Exhibit 16.4 is a true and correct copy of a Wells Fargo cashier's check for \$202,000.00 dated June 29, 2009 and payable to Larry Hopfenspirger. [IR016912]. **Filed under seal.**
- e. Attached hereto as Exhibit 16.5 is a true and correct copy of a Wells Fargo cashier's check for \$123,200.00 dated June 29, 2009 and payable to Terry Frahm. [IR016913]. **Filed under seal.**
- f. Attached hereto as Exhibit 16.6 is a true and correct copy of a Wells Fargo cashier's check for \$156,000.00 dated June 29, 2009 and payable to Michael Hillesheim. [IR016914]. **Filed under seal.**
- g. Attached hereto as Exhibit 16.7 is a true and correct copy of a Wells Fargo cashier's check for \$793,370.00 dated June 29, 2009 and payable to Terry Frahm. [IR016915]. **Filed under seal.**
- h. Attached hereto as Exhibit 16.8 is a true and correct copy of a Wells Fargo cashier's check for \$3,500.00 dated June 29, 2009 and payable to Michael Hillesheim. [IR016916]. **Filed under seal.**

- i. Attached hereto as Exhibit 16.9 is a true and correct copy of a Wells Fargo cashier's check for \$3,500.00 dated June 29, 2009 and payable to Cynthia Hillesheim. [IR016917]. **Filed under seal.**
- j. Attached hereto as Exhibit 16.10 is a true and correct copy of a Wells Fargo cashier's check for \$101,000.00 dated June 29, 2009 and payable to Pam Cheney. [IR016918]. **Filed under seal.**
- k. Attached hereto as Exhibit 16.11 is a true and correct copy of a Wells Fargo cashier's check for \$1,535,300.00 dated June 29, 2009 and payable to Steve Cheney. [IR016919]. **Filed under seal.**

18. Attached hereto as Exhibit 17 is a true and correct copy of an Associated Bank withdrawal slip for \$79,211.53. [IR012970]. **Filed under seal.**

- a. Attached hereto as Exhibit 17.1 is a true and correct copy of an Associated Bank cashier's check for \$12,000.00 dated July 1, 2009 and payable to Steve Fredell. [IR024981]. **Filed under seal.**
- b. Attached hereto as Exhibit 17.2 is a true and correct copy of an Associated Bank cashier's check for \$67,211.53 dated July 1, 2009 and payable to Michael Heise. [IR024982]. **Filed under seal.**

19. Attached hereto as Exhibit 18 is a true and correct copy of the transcript of the deposition of Trevor Cook taken on November 8, 2010 in Minneapolis, Minnesota [IR019864-IR020136]. **Filed under seal.**

20. Attached hereto as Exhibit 19 is a true and correct copy of the Complaint dated July 7, 2009 and filed in the case of *Phillips et al. v. Cook et al.*, 09-cv-1732 (Docket No. 1) (D. Minn.).

21. Attached hereto as Exhibit 20 is a true and correct copy of the Redacted Ex Parte Temporary Restraining Order dated July 7, 2009 and filed in the case of *Phillips et al. v. Cook et al.*, 09-cv-1732 (Docket No. 37) (D. Minn.).

22. Attached hereto as Exhibit 21 is a true and correct copy of the *Temporary Restraining Order* (Phillips Docket No. 38) filed on July 16, 2009.

23. Attached hereto as Exhibit 22 is a true and correct copy of documents reflecting calls to the Cook companies, dated June 22, 2009 through July 14, 2009. These documents were produced to Respondents in native format. **Filed under seal.**

24. Attached hereto as Exhibit 23 are true and correct copies of 152 written requests from 152 unique investors, bearing dates from June-September 2009. These documents were produced to Respondents in native format. **Filed under seal.**

25. Attached hereto as Exhibit 24 are true and correct copies of emails from investors, bearing dates from June-September 2009. These emails bear the

names of 14 additional investors whose names do not appear in Exhibit 23. These documents were produced to Respondents in native format. **Filed under seal.**

26. Each of the 166 unique investors whose names appear in Exhibits 23 and 24 also submitted recognized loss claims to the Receiver. Attached hereto as Exhibit 25 is a true and correct copy of the Receiver's First Amended Final Claims List, with each of the 166 names highlighted [IR029268-IR029280]. **Filed under seal.** In sum, these 166 investors submitted recognized claims for over \$56 million.

27. Attached hereto as Exhibit 26 is a true and correct copy of the Order Appointing Receiver dated November 23, 2009 and filed in the case of *SEC v. Cook et al*, 09-cv-3333 (Docket No. 13) (D. Minn.).

28. Attached hereto as Exhibit 27 is a true and correct copy of the Ex Parte Statutory Restraining Order, dated November 23, 2009 and filed in the case of *CFTC v. Cook et al*, 09-cv-3332 (Docket No. 21) (D. Minn.).

29. Attached hereto as Exhibit 28 is a true and correct copy of the Order Continuing Appointment of Temporary Receiver, dated December 11, 2009 and filed in the case of *CFTC v. Cook et al*, 09-cv-3332 (Docket No. 96) (D. Minn.).

30. Attached hereto as Exhibit 29 is a table listing agreements bearing the signature of the Berg Investors and agreements containing identical terms but bearing the signatures of investors with recognized loss claims. *See* Exhibit 11. Each of the agreements cited in Exhibit 29 (attached hereto as Exhibits 29.1 – 29.29) was produced to Respondents in native format.

- a. Attached hereto as Exhibit 29.1 is a document entitled “Crown Forex Customer Trading Agreement,” bearing the signature of Rick Johnsen. **Filed under seal.**
- b. Attached hereto as Exhibit 29.2 is a document entitled “Crown Forex Customer Trading Agreement,” bearing the signature of James Jerris. **Filed under seal.**
- c. Attached hereto as Exhibit 29.3 is a document entitled “Crown Forex Joint Trading Agreement,” bearing the signatures of Thomas and Margaret Hoel. **Filed under seal.**
- d. Attached hereto as Exhibit 29.4 is a document entitled “Crown Forex Joint Trading Agreement,” bearing the signatures of Arnold & Cheryl Thull. **Filed under seal.**
- e. Attached hereto as Exhibit 29.5 is a document entitled “Crown Forex Customer Order Authorization and Limited Power of Attorney,” bearing the signature of James Meyer. **Filed under seal.**
- f. Attached hereto as Exhibit 29.6 is a document entitled “Crown Forex Customer Order Authorization and Limited Power of Attorney,” bearing the signature of James Jerris. **Filed under seal.**

- g. Attached hereto as Exhibit 29.7 is a document entitled “Entrust Group Application,” bearing the signature of Beth Isakson. **Filed under seal.**
- h. Attached hereto as Exhibit 29.8 is a document entitled “Entrust Group Application,” bearing the signature of Rick Johnsen. **Filed under seal.**
- i. Attached hereto as Exhibit 29.9 are documents bearing the Entrust Group name and bearing the signature of David Bratt. **Filed under seal.**
- j. Attached hereto as Exhibit 29.10 are documents bearing the Entrust Group name and bearing the signature of Bonnie Gloth. **Filed under seal.**
- k. Attached hereto as Exhibit 29.11 is a document entitled “Millennium Trust Company Self Directed IRA Adoption Agreement ,” bearing the signature of Daniel Pillar. **Filed under seal.**
- l. Attached hereto as Exhibit 29.12 is a document entitled “Millennium Trust Company Self Directed IRA Adoption Agreement,” bearing the signature of Hans Heiko Reske. **Filed under seal.**

- m. Attached hereto as Exhibit 29.13 are documents bearing the Millennium Trust Company name and bearing the signature of Hans Heiko Reske. **Filed under seal.**
- n. Attached hereto as Exhibit 29.14 are documents bearing the Millennium Trust Company name and bearing the signature of Daniel Pillar. **Filed under seal.**
- o. Attached hereto as Exhibit 29.15 is a document entitled “Oxford Global Advisors Client Application,” bearing the signature of James Meyer. **Filed under seal.**
- p. Attached hereto as Exhibit 29.16 is a document entitled “Oxford Global Advisors Client Application,” bearing the signature of David Walker. **Filed under seal.**
- q. Attached hereto as Exhibit 29.17 is a document entitled “Oxford Global Advisors Currency Exchange Agreement,” bearing the signature of James Meyer. **Filed under seal.**
- r. Attached hereto as Exhibit 29.18 is a document entitled “Oxford Global Advisors Currency Exchange Agreement,” bearing the signature of Dale Runke. **Filed under seal.**
- s. Attached hereto as Exhibit 29.19 is a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of Rick Johnsen. **Filed under seal.**

- t. Attached hereto as Exhibit 29.20 is a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of Douglas Packard. **Filed under seal.**
- u. Attached hereto as Exhibit 29.21 is a document entitled “Oxford Global Advisors Subscription Form,” bearing the signature of Judith Bowe. **Filed under seal.**
- v. Attached hereto as Exhibit 29.22 is a document entitled “Oxford Global Advisors Subscription Form,” bearing the signature of Diane Elliott. **Filed under seal.**
- w. Attached hereto as Exhibit 29.23 is a document entitled “UBS Diversified Client Application,” bearing the signature of Ken Locklin. **Filed under seal.**
- x. Attached hereto as Exhibit 29.24 is a document entitled “UBS Diversified Client Application,” bearing the signature of Sean Murphy. **Filed under seal.**
- y. Attached hereto as Exhibit 29.25 is a document entitled “UBS Diversified FX Growth Subscription and Agreement,” bearing the signature of Thomas Annis. **Filed under seal.**
- z. Attached hereto as Exhibit 29.26 is a document entitled “UBS Diversified FX Growth Subscription and Agreement,” bearing the signature of Mike Rabida. **Filed under seal.**

aa. Attached hereto as Exhibit 29.27 is a document entitled “UBS Diversified Subscription,” bearing the signature of Joy and Sharon Phillips. **Filed under seal.**

bb. Attached hereto as Exhibit 29.28 is a document entitled “Universal Brokerage FX Growth Agreement,” bearing the signature of James Meyer. **Filed under seal.**

cc. Attached hereto as Exhibit 29.29 is a document entitled “Universal Brokerage FX Growth Agreement,” bearing the signature of Laura Owen. **Filed under seal.**

31. Attached hereto as Exhibit 30 is a table listing agreements bearing the signature of Dot Anderson and agreements containing identical terms bearing the signatures of investors with recognized loss claims. *See* Exhibit 11. Each of the agreements cited in Exhibit 30 (attached hereto as Exhibits 30.1 – 30.6) was produced to Respondents in native format.

a. Attached hereto as Exhibit 30.1 is a document entitled “Basel Institutional Customer Agreement,” bearing the signature of Robert Freed. **Filed under seal.**

b. Attached hereto as Exhibit 30.2 is a document entitled “Basel Institutional Customer Agreement,” bearing the signature of Donald Gerdes. **Filed under seal.**

- c. Attached hereto as Exhibit 30.3 is a document entitled “Basel Customer Order Authorization and Limited Power of Attorney,” bearing the signature of Robert Freed. **Filed under seal.**
- d. Attached hereto as Exhibit 30.4 is a document entitled “Basel Customer Order Authorization and Limited Power of Attorney,” bearing the signature of Bonita Glass. **Filed under seal.**
- e. Attached hereto as Exhibit 30.5 is a document bearing the name Oxford Global Partners entitled “Management Agreement,” bearing the signatures of Donald and Jeannette Gerdes. **Filed under seal.**
- f. Attached hereto as Exhibit 30.6 is a document bearing the name Oxford Global Partners entitled “Management Agreement,” bearing the signature of Jeanette King. **Filed under seal.**

32. Attached hereto as Exhibit 31 is a true and correct copy of the Transcript of Proceedings occurring on August 24, 2010 in the case of *United States v. Cook*, 10-cr-75 (Docket No. 20) (D. Minn.).

33. Attached hereto as Exhibit 32 is a true and correct copy of the Order dated December 6, 2011, in the case of *United States v. Beckman et al.*, 11-cr-228 (Docket No. 137) (D. Minn.).

34. Attached hereto as Exhibit 33 is a true and correct copy of the Order Allowing Summary Proceedings dated July 20, 2011, in the case of *SEC v. Cook et al.*, 09-cv-3333 (Docket No. 380) (D. Minn.).

35. Attached hereto as Exhibit 34 is a true and correct copy of the Berg Investors' Fourth Amended Responses to Petitioner's Discovery Requests, served on November 29, 2011.

36. Attached hereto as Exhibit 35 is a true and correct copy of the transcript of the deposition of William Harris taken on June 28, 2011 in Minneapolis, Minnesota.

37. Attached hereto as Exhibit 36 is a true and correct copy of a list of all transactions between the Berg Investors and Cook's fraudulent companies identified by the Receiver [IR025814 – IR025815].

38. Attached hereto as Exhibit 37 is a (highlighted) true and correct copy of a document entitled "Millennium Trust Company," bearing the signature of Clifford Berg and dated January 29, 2008. This document was produced to Respondents in native format. **Filed under seal.**

39. Attached hereto as Exhibit 38 is a true and correct copy of the Declaration of Alexandra J. Olson, dated May 5, 2010 and filed in the case of *SEC v. Cook et al.*, 09-cv-3333 (Docket No. 311) (D. Minn.).

Heise

40. Attached hereto as Exhibit 39 is a true and correct copy of the deposition transcript of Michael Heise taken on June 7, 2011 in Minneapolis, Minnesota.

41. Attached hereto as Exhibit 40 is a true and correct copy of the deposition transcript of Jennifer Heise taken on June 24, 2011 in Minneapolis, Minnesota.

42. Attached hereto as Exhibit 41 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Partners entitled “Management Agreement,” bearing the signature of Michael Heise and dated April 20, 2009. [IR004925-IR004930]. **Filed under seal.**

43. Attached hereto as Exhibit 42 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signature of Michael Heise and dated May 29, 2008. [IR004886-IR004889]. **Filed under seal.**

44. Attached hereto as Exhibit 43 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC General Business Terms Currency Exchange Agreement,” bearing the signature of Michael Heise and dated May 29, 2008. [IR004877-IR004884]. **Filed under seal.**

45. Attached hereto as Exhibit 44 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Subscription/Offering Form,” bearing the signature of Michael Heise and dated May 29, 2008. [IR004890-IR004891]. **Filed under seal.**

46. Attached hereto as Exhibit 45 is a (highlighted) true and correct copy of a document entitled “Crown Forex Customer Trading Agreement,” bearing the

signature of Michael Heise and dated July 20, 2008. [IR008766-IR008784]. **Filed under seal.**

47. Attached hereto as Exhibit 46 is a (highlighted) true and correct copy of a document entitled “Crown Forex,” bearing the signature of Michael Heise and dated April 20, 2009. [IR004913-IR004924]. **Filed under seal.**

48. Attached hereto as Exhibit 47 is a (highlighted) true and correct copy of a document entitled “Crown Forex Customer Order Authorization and Limited Power of Attorney,” bearing the signature of Michael Heise. [IR008785-IR008786]. **Filed under seal.**

49. Attached hereto as Exhibit 48 is a (highlighted) true and correct copy of a document entitled “Crown Forex Customer Order Authorization and Limited Power of Attorney,” bearing the signature of Michael Heise and dated April 20, 2009. [IR004931-IR004932]. **Filed under seal.**

50. Attached hereto as Exhibit 49 is a true and correct copy of a document entitled “Entrust Group Application,” bearing the signature of Michael Heise and dated April 28, 2009. [IR004896-IR004898]. **Filed under seal.**

51. Attached hereto as Exhibit 50 is a (highlighted) true and correct copy of forms bearing the name Entrust, bearing the signature of Michael Heise and dated April 28, 2009. [IR004899-IR004905, IR004908-IR004911]. **Filed under seal.**

52. Attached hereto as Exhibit 51 are true and correct copies of documents purporting to be “account statements” from “The Oxford.” [IR002717,

IR004823, IR002718, IR004846, IR002719, IR004836, IR002722-IR002723].

Filed under seal.

53. Attached hereto as Exhibit 52 are true and correct copies of documents purporting to be “account statements” from “Crown Forex SA.” [IR001306-IR001307, IR008736, IR008741, IR008744-IR008745, IR008749-IR008750, IR008752-IR008753, IR009026, IR004892]. **Filed under seal.**

54. Attached hereto as Exhibit 53 is a true and correct copy of two checks from UBS Financial Services Inc. payable to “Entrust Group FBO Jennifer A Heise IRA,” dated June 26, 2009. [IR002726-IR002727]. **Filed under seal.**

55. Attached hereto as Exhibit 54 is a true and correct copies of a deposit slip and checks. [IR023587-IR023594]. **Filed under seal.**

56. Attached hereto as Exhibit 55 is a true and correct copy of four checks from UBS Financial Services Inc. payable to “Entrust Group FBO Michael & Jennifer Heise” or “Entrust Group FBO Michael Heise” [IR007963, IR010699].

Filed under seal.

Fredell

57. Attached hereto as Exhibit 56 is a true and correct copy of the deposition transcript of Steven C. Fredell taken on May 26, 2011 in Minneapolis, Minnesota.

58. Attached hereto as Exhibit 57 is a true and correct copy of the deposition transcript of Jenene Fredell taken on May 26, 2011 in Minneapolis, Minnesota.

59. Attached hereto as Exhibit 58 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of Steven Fredell and dated August 15, 2008. [IR004572-IR004579]. **Filed under seal.**

60. Attached hereto as Exhibit 59 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of Jenene Fredell and dated August 15, 2008. [IR004383-IR004390] **Filed under seal.**

61. Attached hereto as Exhibit 60 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signature of Steven and Jenene Fredell and dated January 15, 2008. [IR004431-IR004435]. **Filed under seal.**

62. Attached hereto as Exhibit 61 is a true and correct copy of a document entitled “UBS Diversified FX Growth, LLC,” bearing the signatures of Steven and Jenene Fredell and dated January 15, 2008. [IR004427-IR004429]. **Filed under seal.**

63. Attached hereto as Exhibit 62 is a true and correct copy of a document entitled “Universal Brokerage FX Growth L.P.,” bearing the signature of Steven Fredell and dated January 18, 2008. [IR004506, IR004532-IR004535]. **Filed under seal.**

64. Attached hereto as Exhibit 63 is a true and correct copy of a document entitled “Universal Brokerage FX Growth L.P.,” bearing the signature of

Jenene Fredell and dated February 1, 2008. [IR004315, IR004336, IR004341-IR004344]. **Filed under seal.**

65. Attached hereto as Exhibit 64 is a (highlighted) true and correct copy of a document entitled “UBS Diversified FX Growth, L.P. Subscription Agreement,” bearing the signature of Steven Fredell and dated January 18, 2008. [IR004505, IR004507, IR004508-IR004531, IR004537-IR004538]. **Filed under seal.**

66. Attached hereto as Exhibit 65 is a (highlighted) true and correct copy of a document entitled “UBS Diversified FX Growth, L.P. Subscription Agreement,” bearing the signature of Jenene Fredell. [IR004314-IR004347]. **Filed under seal.**

67. Attached hereto as Exhibit 66 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Subscription Form,” bearing the signatures of Steven and Jenene Fredell. [IR004436-IR00437]. **Filed under seal.**

68. Attached hereto as Exhibit 67 is a (highlighted) true and correct copy of a document entitled “Crown Forex Customer Trading Agreement,” bearing the signature of Steven Fredell and dated August 15, 2008. [IR004553-IR004570]. **Filed under seal.**

69. Attached hereto as Exhibit 68 is a (highlighted) true and correct copy of a document entitled “Crown Forex Customer Trading Agreement,” bearing the

signature of Jenene Fredell and dated August 15, 2008. [IR004362-IR004379].

Filed under seal.

70. Attached hereto as Exhibit 69 is a (highlighted) true and correct copy of a document entitled “Crown Forex,” bearing the signature of Steven Fredell and dated August 15, 2008. [IR004580-IR004581]. **Filed under seal.**

71. Attached hereto as Exhibit 70 is a (highlighted) true and correct copy of a document entitled “Crown Forex Customer Order Authorization and Limited Power of Attorney,” bearing the signature of Jenene Fredell and dated August 15, 2008. [IR004391-IR004392]. **Filed under seal.**

72. Attached hereto as Exhibit 71 is a true and correct copy of a document entitled “Millennium Trust Company Self-Directed IRA Adoption Agreement,” bearing the signature of Steven Fredell and dated January 15, 2008. [IR004626-IR004628]. **Filed under seal.**

73. Attached hereto as Exhibit 72 is a true and correct copy of a document entitled “Millennium Trust Company Self-Directed IRA Adoption Agreement,” bearing the signature of Jenene Fredell and dated January 15, 2008. [IR004422-IR004424]. **Filed under seal.**

74. Attached hereto as Exhibit 73 is a true and correct copy of a document entitled “Millennium Trust Company Self-Directed IRA Adoption Agreement,” bearing the signature of Jenene Fredell and dated January 15, 2008. [IR004413-IR004417]. **Filed under seal.**

75. Attached hereto as Exhibit 74 is a true and correct copy of documents bearing the name Millennium Trust Company, bearing the signature of Steven Fredell and dated January 15, 2008. [IR004591, IR004597-IR004600, IR004604, IR004606-IR004610, IR004439]. **Filed under seal.**

76. Attached hereto as Exhibit 75 is a true and correct copy of documents bearing the name Millennium Trust Company, bearing the signature of Jenene Fredell and dated January 20, 2008. [IR004404, IR004406, IR004410, IR004412, IR004418-IR004419, IR004421, IR004425-IR004426]. **Filed under seal.**

77. Attached hereto as Exhibit 76 is a true and correct copy of a document entitled "Entrust Group Application," bearing the signature of Jenene Fredell and dated August 15, 2008. [IR004350-IR004352]. **Filed under seal.**

78. Attached hereto as Exhibit 77 is a true and correct copy of documents bearing the name Entrust Group, bearing the signature of Steven Fredell and dated August 15, 2008. [IR004544-IR004552]. **Filed under seal.**

79. Attached hereto as Exhibit 78 is a true and correct copy of documents bearing the name Entrust Group, bearing the signature of Jenene Fredell and dated August 15, 2008. [IR004353-IR004361]. **Filed under seal.**

80. Attached hereto as Exhibit 79 is a true and correct (redacted) copy of a canceled check from Electrical Workers I.B.E.W. #292 Fringe Benefits Plan dated June 19, 2009 and payable to "Entrust Midwest SC Fredel," which was

deposited into a bank account held in the name of Entrust Midwest [11982-11984].

81. Attached hereto as Exhibit 80 is a true and correct (redacted) copy of a document entitled “Account Statement” relating to the account of Steven Fredell at Entrust Midwest, LLC [11904-11905].

Cheney

82. Attached hereto as Exhibit 81 is a true and correct copy of the deposition transcript of Steven Cheney taken on June 16, 2011 in Minneapolis, Minnesota.

83. Attached hereto as Exhibit 82 is a true and correct copy of the deposition transcript of Pamela Cheney taken on June 16, 2011 in Minneapolis, Minnesota.

84. Attached hereto as Exhibit 83 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signature of Steven Cheney and dated February 13, 2008. [IR003911-IR003914]. **Filed under seal.**

85. Attached hereto as Exhibit 84 is a true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signature of Pamela Cheney and dated May 12, 2008. [IR010179-IR010183]. **Filed under seal.**

86. Attached hereto as Exhibit 85 is a true and correct copy of a document entitled “Oxford Global Advisors, LLC General Business Terms

Currency Exchange Agreement,” bearing the signature of Steven Cheney and dated February 13, 2008. [IR003903-IR003910]. **Filed under seal.**

87. Attached hereto as Exhibit 86 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Subscription Form ,” bearing the signature of Steven Cheney and dated February 14, 2008. [IR003915-IR003916]. **Filed under seal.**

88. Attached hereto as Exhibit 87 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Subscription Form,” bearing the signature of Pamela Cheney and dated May 13, 2008. [IR010177]. **Filed under seal.**

89. Attached hereto as Exhibit 88 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Subscription Form.” [IR010227]. **Filed under seal.** *See* Exhibit 36.

90. Attached hereto as Exhibit 89 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signature of Scott Cheney and dated February 28, 2008. [IR003844-IR003847, IR003850]. **Filed under seal.**

91. Attached hereto as Exhibit 90 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signature of David Cheney and dated February 28, 2008. [IR003735, IR003738-IR3742]. **Filed under seal.**

92. Attached hereto as Exhibit 91 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signature of Joseph Cheney and dated February 28, 2008. [IR003782-IR003787]. **Filed under seal.**

93. Attached hereto as Exhibit 92 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signature of Sharon Reed and dated February 29, 2008. [IR005743-IR005748]. **Filed under seal.**

94. Attached hereto as Exhibit 93 is a true and correct (highlighted) copy of the monthly account statement for the Wells Fargo account held in the name of Steven J. Cheney for the month of August 2008 [IR002792-IR002795.] **Filed under seal.**

95. Attached hereto as Exhibit 94 is a true and correct (highlighted) copy of the monthly account statement for the Wells Fargo account held in the name of Steven J. Cheney for the month of January 2009 [IR002814-2817]. **Filed under seal.**

Hopfenspirger

96. Attached hereto as Exhibit 95 is a true and correct copy of the deposition transcript of Valerius (“Larry”) Hopfenspirger taken on July 13, 2011 in Minneapolis, Minnesota.

97. Attached hereto as Exhibit 96 is a true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,”

bearing the signature of Larry Hopfenspirger and dated March 17, 2008.

[IR002968-IR002971]. **Filed under seal.**

98. Attached hereto as Exhibit 97 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC General Business Terms Currency Exchange Agreement,” bearing the signature of Larry Hopfenspirger and dated March 18, 2008. [IR002972-IR002977, IR007385-IR007386]. **Filed under seal.**

99. Attached hereto as Exhibit 98 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Subscription Form,” bearing the signature of Larry Hopfenspirger. [IR007377-IR007378].

Filed under seal.

Defiel

100. Attached hereto as Exhibit 99 is a true and correct copy of the deposition transcript of Walter Defiel taken on July 7, 2011 in Minneapolis, Minnesota.

101. Attached hereto as Exhibit 100 is a true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signature of Walter Defiel and dated November 26, 2007. [IR004084-IR004087, IR004090]. **Filed under seal.**

102. Attached hereto as Exhibit 101 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC General Business

Terms Currency Exchange Agreement,” bearing the signature of Walter Defiel and dated November 28, 2007. [IR004094-IR004100]. **Filed under seal.**

103. Attached hereto as Exhibit 102 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Subscription Form,” bearing the signature of Walter Defiel. [IR004088-IR004089]. **Filed under seal.**

104. Attached hereto as Exhibit 103 are true and correct copies of documents purporting to be “account statements” from “Oxford Global Advisors, LLC,” “The Oxford,” and “Crown Forex SA.” [IR004077-IR004074, IR011550, IR000520, IR003987, IR011647, IR003988, IR004073-IR004072]. **Filed under seal.**

Morisset

105. Attached hereto as Exhibit 104 is a true and correct copy of the deposition transcript of George Morisset taken on July 7, 2011 in Minneapolis, Minnesota.

106. Attached hereto as Exhibit 105 is a true and correct copy of the deposition transcript of Karen Morisset taken on July 7, 2011 in Minneapolis, Minnesota.

107. Attached hereto as Exhibit 106 is a (highlighted) true and correct copy of a document entitled “Crown Forex Customer Trading Agreement,” bearing the signature of George Morisset and dated June 24, 2008. [IR005615-IR005632]. **Filed under seal.**

108. Attached hereto as Exhibit 107 is a (highlighted) true and correct copy of a document entitled “Crown Forex Customer Trading Agreement,” bearing the signature of Karen Morisset and dated June 24, 2008. [IR002112-IR002129]. **Filed under seal.**

109. Attached hereto as Exhibit 108 is a true and correct copy of a document entitled “Entrust Group Application,” bearing the signature of George Morisset and dated June 24, 2008. [IR005605-IR005608]. **Filed under seal.**

110. Attached hereto as Exhibit 109 is a true and correct copy of a document entitled “Entrust Group Application,” bearing the signature of Karen Morisset and dated June 24, 2008. [IR002100-IR002101, IR002103]. **Filed under seal.**

111. Attached hereto as Exhibit 110 is a true and correct copy of documents bearing the name Entrust Group, bearing the signature of George Morisset and dated June 24, 2008. [IR005613-IR5614, IR005633-IR005634, IR005609-IR005610, IR003488-IR003489]. **Filed under seal.**

112. Attached hereto as Exhibit 111 is a true and correct copy of documents bearing the name Entrust Group, bearing the signature of Karen Morisset and dated June 24, 2008. [IR002108-IR002111, IR002102, IR002104-IR002107, IR003490-IR003491, IR003402, IR002052]. **Filed under seal.**

Sundstrom

113. Attached hereto as Exhibit 112 is a true and correct copy of the deposition transcript of Reynold Sundstrom taken on June 15, 2011 in Minneapolis, Minnesota.

114. Attached hereto as Exhibit 113 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of Reynold Sundstrom and dated January 13, 2009. [IR003447-IR003452]. **Filed under seal.**

115. Attached hereto as Exhibit 114 is a true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signatures of Reynold and Judith Sundstrom and dated January 14, 2008. [IR008595-IR008598]. **Filed under seal.**

116. Attached hereto as Exhibit 115 is a (highlighted) true and correct copy of a document entitled “Oxford Global Advisors, LLC General Business Terms Currency Exchange Agreement,” bearing the signatures of Reynold and Judith Sundstrom and dated January 14, 2008. [IR008606-IR008613]. **Filed under seal.**

117. Attached hereto as Exhibit 116 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Subscription Form,” bearing the signatures of Reynold and Judith Sundstrom. [IR008599, IR008605]. **Filed under seal.**

118. Attached hereto as Exhibit 117 is a (highlighted) true and correct copy of a document entitled “Crown Forex,” bearing the signature of Reynold Sundstrom and dated January 13, 2009. [IR003453-IR003463]. **Filed under seal.**

119. Attached hereto as Exhibit 118 is a true and correct copy of a document entitled “Crown Forex Joint Trading Agreement,” bearing the signatures of Reynold and Judith Sundstrom and dated January 13, 2008. [IR005815, IR005818]. **Filed under seal.**

120. Attached hereto as Exhibit 119 is a true and correct copy of a document entitled “Crown Forex Customer Order Authorization and Limited Power of Attorney,” bearing the signature of Reynold Sundstrom and dated January 13, 2009. [IR003464-IR003465]. **Filed under seal.**

Kautzman

121. Attached hereto as Exhibit 120 is a true and correct copy of deposition transcript of Steven Kautzman taken on June 9, 2011 in Minneapolis, Minnesota.

122. Attached hereto as Exhibit 121 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of Steven Kautzman and dated February 24, 2009. [IR007491-IR007496]. **Filed under seal.**

123. Attached hereto as Exhibit 122 is a (highlighted) true and correct copy of a document entitled “Crown Forex,” bearing the signature of Steven Kautzman. [IR007483-IR007490]. **Filed under seal.**

124. Attached hereto as Exhibit 123 is a true and correct copy of a document entitled “Crown Forex Customer Order Authorization and Limited Power of Attorney,” bearing the signature of Steven Kautzman and dated September 19, 2008. [IR007497-IR007498, IR001850-IR001851]. **Filed under seal.**

125. Attached hereto as Exhibit 124 is a true and correct copy of a document entitled “Entrust Group Application,” bearing the signature of Steven Kautzman and dated February 27, 2009. [010142-010144, IR001830-IR001832]. **Filed under seal.**

126. Attached hereto as Exhibit 125 is a true and correct copy of documents bearing the name Entrust Group, bearing the signature of Steven Kautzman and dated February 26, 2009. [010145-010155, IR001833-IR001843]. **Filed under seal.**

127. Attached hereto as Exhibit 126 is a true and correct copy of a wire transfer receipt dated March 26, 2009. [IR000013-IR000014]. **Filed under seal.**

Hillesheim

128. Attached hereto as Exhibit 127 is a true and correct copy of the deposition transcript of Michael Hillesheim taken on June 13, 2011 in Minneapolis, Minnesota.

129. Attached hereto as Exhibit 128 is a true and correct copy of the deposition transcript of Cynthia Hillesheim taken on June 13, 2011 in Minneapolis, Minnesota.

130. Attached hereto as Exhibit 129 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of Michael Hillesheim and dated September 19, 2008. [IR008193-IR008200]. **Filed under seal.**

131. Attached hereto as Exhibit 130 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of Michael Hillesheim and dated September 19, 2008. [IR001758-IR001765]. **Filed under seal.**

132. Attached hereto as Exhibit 131 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of Cynthia Hillesheim and dated September 19, 2008. [IR008207-IR008214]. **Filed under seal.**

133. Attached hereto as Exhibit 132 is a (highlighted) true and correct copy of a document bearing the name UBS Diversified, bearing the signatures of Michael and Cynthia Hillesheim dated June 18, 2007. [IR009976-IR009980]. **Filed under seal.**

134. Attached hereto as Exhibit 133 is a (highlighted) true and correct copy of a document entitled “UBS Diversified FX Growth, L.P. Subscription Agreement,” bearing the signature of Michael Hillesheim and dated December 19, 2007. [IR005358, IR005360-IR005384]. **Filed under seal.**

135. Attached hereto as Exhibit 134 is a (highlighted) true and correct copy of a document entitled “UBS Diversified FX Growth, L.P. Subscription

Agreement,” bearing the signature of Cynthia Hillesheim and dated December 19, 2007. [IR005124, IR005126-IR005150]. **Filed under seal.**

136. Attached hereto as Exhibit 135 is a true and correct copy of a document entitled “Universal Brokerage FX Growth L.P.,” bearing the signature of Michael Hillesheim and dated December 19, 2007. [IR005359, IR005385-IR005388]. **Filed under seal.**

137. Attached hereto as Exhibit 136 is a true and correct copy of a document entitled “Universal Brokerage FX Growth L.P.,” bearing the signature of Cynthia Hillesheim and dated December 19, 2007. [IR005125, IR005151-IR005154]. **Filed under seal.**

138. Attached hereto as Exhibit 137 is a (highlighted) true and correct copy of a document bearing the name UBS Diversified entitled “Subscription Form and Product Terms.” [IR009975]. **Filed under seal.**

139. Attached hereto as Exhibit 138 is a (highlighted) true and correct copy of a document entitled “Crown Forex,” bearing the signature of Michael Hillesheim and dated September 19, 2008. [IR005321-IR005332]. **Filed under seal.**

140. Attached hereto as Exhibit 139 is a true and correct (highlighted) copy of a document entitled “Crown Forex,” bearing the signature of Cynthia Hillesheim and dated September 19, 2008. [IR001480-IR001491]. **Filed under seal.**

141. Attached hereto as Exhibit 140 is a (highlighted) true and correct copy of a document entitled “Crown Forex,” bearing the signatures of Michael and Cynthia Hillesheim and dated September 19, 2008. [IR008176-IR008192]. **Filed under seal.**

142. Attached hereto as Exhibit 141 is a true and correct copy of a document entitled “Millennium Trust Company Self-Directed IRA Adoption Agreement,” bearing the signature of Michael Hillesheim. [IR005278-IR005280, IR001664-IR001666, IR008220-IR008222]. **Filed under seal.**

143. Attached hereto as Exhibit 142 is a true and correct copy of a document entitled “Millennium Trust Company Self-Directed IRA Adoption Agreement,” bearing the signature of Cynthia Hillesheim. [IR005293-IR005295, IR001494-IR001496]. **Filed under seal.**

144. Attached hereto as Exhibit 143 is a true and correct copy of documents bearing the name “Millennium Trust Company,” bearing the signature of Michael Hillesheim. [IR005277, IR005281-IR005283, IR008219, IR008223-IR008225]. **Filed under seal.**

145. Attached hereto as Exhibit 144 is a true and correct copy of documents bearing the name “Millennium Trust Company,” bearing the signature of Cynthia Hillesheim. [IR005286, IR005288, IR005291-IR005292, IR005300-IR005301, IR001493, IR001497-IR001499]. **Filed under seal.**

146. Attached hereto as Exhibit 145 is a true and correct copy of a document entitled “Entrust Group Application,” bearing the signature of Michael

Hillesheim and dated September 19, 2008. [IR005309-IR005311]. **Filed under seal.**

147. Attached hereto as Exhibit 146 is a true and correct copy of a document entitled “Entrust Group Application,” bearing the signature of Cynthia Hillesheim and dated September 19, 2008. [IR001468, IR001470-IR001471].

Filed under seal.

148. Attached hereto as Exhibit 147 is a true and correct copy of documents bearing the name “Entrust Group,” bearing the signature of Michael Hillesheim and dated September 19, 2008. [IR005312-IR005320]. **Filed under**

seal.

149. Attached hereto as Exhibit 148 is a true and correct copy of documents bearing the name “Entrust Group,” bearing the signature of Cynthia Hillesheim and dated September 19, 2008. [IR001469, IR001472-IR001479].

Filed under seal.

150. Attached hereto as Exhibit 149 are true and correct copies of documents purporting to be “account statements” from “The Oxford,” “UBS Diversified,” and “Crown Forex SA.” [IR005333-IR005335, IR001671, IR008160, IR001770-IR001771, IR001461, IR001294, IR009973-IR009968, IR005275-IR005273, IR005270-IR005268, IR005266, IR005264-IR005263, IR001672, IR001757, IR001459]. **Filed under seal.**

McIntosh

151. Attached hereto as Exhibit 150 is a true and correct copy of the deposition transcript of James McIntosh taken on June 21, 2011 in Minneapolis, Minnesota.

152. Attached hereto as Exhibit 151 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of James McIntosh and dated August 15, 2008. [IR006658-IR006665]. **Filed under seal.**

153. Attached hereto as Exhibit 152 is a (highlighted) true and correct copy of a document entitled “Crown Forex Customer Trading Agreement,” bearing the signature of James McIntosh and dated August 8, 2008. [IR006673-IR006690]. **Filed under seal.**

154. Attached hereto as Exhibit 153 is a true and correct copy of a document entitled “Crown Forex Customer Order Authorization and Limited Power of Attorney,” bearing the signature of James McIntosh and dated August 15, 2008. [IR006691-IR006692]. **Filed under seal.**

155. Attached hereto as Exhibit 154 is a (highlighted) true and correct copy of a document entitled “Entrust Group Application,” bearing the signature of James McIntosh and dated August 15, 2008. [IR006627-IR006629]. **Filed under seal.**

156. Attached hereto as Exhibit 155 are documents bearing the name “Entrust Group,” bearing the signature of James McIntosh and dated August 15, 2008. [IR006625-IR006626, IR006630-IR006639]. **Filed under seal.**

157. Attached hereto as Exhibit 156 is a true and correct copy of a document bearing the name of Entrust and entitled "Account Statement."

[IR023909-IR023912]. **Filed under seal.**

158. Attached hereto as Exhibit 157 are true and correct (redacted) copies of documents bearing production numbers 11970-11981.

Buysse

159. Attached hereto as Exhibit 158 is a true and correct copy of the deposition transcript of David Buysse taken on June 8, 2011 in Minneapolis, Minnesota.

160. Attached hereto as Exhibit 159 is a (highlighted) true and correct copy of a document entitled "Oxford Global Advisors, LLC Client Application Form," bearing the signature of David Buysse and dated November 30, 2007.

[IR007274-IR007277]. **Filed under seal.**

161. Attached hereto as Exhibit 160 is a (highlighted) true and correct copy of a document entitled "Oxford Global Advisors, LLC General Business Terms Currency Exchange Agreement," bearing the signature of David Buysse.

[IR007265-IR007271]. **Filed under seal.**

162. Attached hereto as Exhibit 161 is a true and correct copy of a document bearing the name Oxford Global Advisors entitled "Subscription Form," bearing the signature of David Buysse. [IR007272-IR007273]. **Filed under seal.**

163. Attached hereto as Exhibit 162 is a (highlighted) true and correct copy of a document entitled "Crown Forex Customer Trading Agreement,"

bearing the signature of David Buysse and dated June 26, 2008. [IR003710-IR003727]. **Filed under seal.**

164. Attached hereto as Exhibit 163 is a true and correct copy of a document entitled “Crown Forex Customer Order Authorization and Limited Power of Attorney,” bearing the signature of David Buysse. [IR003728-IR003729]. **Filed under seal.**

165. Attached hereto as Exhibit 164 are true and correct copies of documents purporting to be “account statements” from “The Oxford” and “UBFX Diversified.” [IR007263-IR007260, IR003693, IR011598, IR011545, IR011662, IR003692, IR003685]. **Filed under seal.**

Frahm

166. Attached hereto as Exhibit 165 is a true and correct copy of the deposition transcript of Terry Frahm taken on June 2, 2011 in Minneapolis, Minnesota.

167. Attached hereto as Exhibit 166 is a true and correct copy of the deposition transcript of Jean Frahm taken on June 2, 2011 in Minneapolis, Minnesota.

168. Attached hereto as Exhibit 167 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signature of Terry Frahm and dated October 31, 2008. [IR010445-IR010452]. **Filed under seal.**

169. Attached hereto as Exhibit 168 is a (highlighted) true and correct copy of a document bearing the name Oxford Global Advisors entitled “Management Agreement,” bearing the signatures of Terry and Jean Frahm and dated October 31, 2008. [IR010453-IR010460]. **Filed under seal.**

170. Attached hereto as Exhibit 169 is a true and correct copy of a document entitled “Oxford Global Advisors, LLC Client Application Form,” bearing the signature of Terry Frahm and dated March 20, 2008. [IR004158-IR004161]. **Filed under seal.**

171. Attached hereto as Exhibit 170 is a true and correct copy of a document entitled “UBS Diversified Client Application Form,” bearing the signatures of Terry and Jean Frahm and dated June 27, 2007. [IR003100-IR003103]. **Filed under seal.**

172. Attached hereto as Exhibit 171 is a true and correct copy of a document entitled “Oxford Global Advisors, LLC General Business Terms Currency Exchange Agreement,” bearing the signature of Terry Frahm and dated March 20, 2008. [IR004151-IR004157]. **Filed under seal.**

173. Attached hereto as Exhibit 172 is a true and correct copy of a document entitled “UBS Diversified FX Growth L.P.,” bearing the signature of Terry Frahm and dated April 9, 2008. [IR004108-IR004137]. **Filed under seal.**

174. Attached hereto as Exhibit 173 is a (highlighted) true and correct copy of a document bearing the name UBS Diversified entitled “Subscription

Form,” bearing the signature of Terry Frahm. [011171-011172]. **Filed under seal.**

175. Attached hereto as Exhibit 174 is a (highlighted) true and correct copy of a document entitled “Crown Forex,” bearing the signature of Terry Frahm and dated October 31, 2008. [IR010427-IR010438, 010968-010969]. **Filed under seal.**

176. Attached hereto as Exhibit 175 is a true and correct copy of a document entitled “Crown Forex Customer Order Authorization and Limited Power of Attorney,” bearing the signature of Terry Frahm and dated October 31, 2008. [IR010440-IR010441]. **Filed under seal.**

177. Attached hereto as Exhibit 176 is a true and correct copy of a document entitled “Millennium Trust Company Self-Directed IRA Adoption Agreement,” bearing the signature of Terry Frahm and dated March 20, 2008. [IR004145-IR004147]. **Filed under seal.**

178. Attached hereto as Exhibit 177 is a true and correct copy of documents bearing the name “Millennium Trust Company” and bearing the signature of Terry Frahm and dated March 20, 2008. [IR004144, IR004148-IR004150, IR004162-IR004164]. **Filed under seal.**

179. Attached hereto as Exhibit 178 is a true and correct copy of a document entitled “Entrust Group Application,” bearing the signature of Terry Frahm. [IR010416-IR010417]. **Filed under seal.**

180. Attached hereto as Exhibit 179 is a (highlighted) true and correct copy of documents bearing the name “Entrust Group” and bearing the signature of Terry Frahm. [IR010418-IR010426, 010924-010924]. **Filed under seal.**

181. Attached hereto as Exhibit 180 is a true and correct copy of a document entitled “UBS Diversified Federal Funds Income Advantage” [11082-11089].

182. Attached hereto as Exhibit 181 is a true and correct copy of an unsigned letter dated May 5, 2009 produced to the Receiver by Terry Frahm [11079].

Anderson

183. Attached hereto as Exhibit 182 is a true and correct copy of the deposition transcript of Dot Anderson taken on May 18, 2011 in Minneapolis, Minnesota.

184. Attached hereto as Exhibit 183 is a true and correct copy of a check payable to “Basel International” in the amount of \$102,000.00 [IR003224]. **Filed under seal.**

185. Attached hereto as Exhibit 184 is a true and correct copy of a document bearing the name Oxford Global Partners entitled “Management Agreement,” bearing the signature of Dot Anderson and dated June 12, 2009 [IR003636-IR003641]. **Filed under seal.**

186. Attached hereto as Exhibit 185 is a true and correct copy of a document entitled “Basel Customer Agreement,” bearing the signature of Dot Anderson and dated June 12, 2009 [IR003230-IR003240]. **Filed under seal.**

187. Attached hereto as Exhibit 186 is a (highlighted) true and correct copy of a document entitled “Basel Customer Order Authorization and Limited Power of Attorney,” bearing the signature of Dot Anderson [IR003241-IR003242]. **Filed under seal.**

188. Attached hereto as Exhibit 187 is a true and correct copy of notes authored by David Austrum during the Receiver’s interview of Anderson on March 3, 2010 [IR002540-IR002541]. **Filed under seal.**

189. Attached hereto as Exhibit 188 is a true and correct copy of wire transfer receipts from Associated Bank account number XXXXXX5214, held in the name of Basel Group LLC [IR000074-IR000077]. **Filed under seal.**

190. Attached hereto as Exhibit 189 is a true and correct copy of the deposition transcript of David Austrum taken on August 11, 2011 in Minneapolis, Minnesota.

191. Attached hereto as Exhibit 190 is a true and correct copy of the Berg Investors’ Amended Responses to Petitioner’s Second Set of Interrogatories to Lender Respondents, served on November 29, 2011.

192. Attached hereto as Exhibit 191 is a true and correct copy of account opening documents for Associated Bank account number XXXXXX5214, held in

the name of Basel Group LLC (“Basel account”) [IR012607 – IR012613]. **Filed under seal.**

193. Attached hereto as Exhibit 192 is a true and correct copy of emails between Trevor Cook and Julia Smith, dated July 15, 2009 [IR023570-IR023573]. **Filed under seal.**

194. Attached hereto as Exhibit 193 is a true and correct copy of an email from Ryan Moeller to Doug Tieben, dated February 12, 2009. This document was produced to Respondents in native format. **Filed under seal.**

195. Attached hereto as Exhibit 194 is a true and correct copy of a document entitled “Crown Forex Withdrawal Form,” dated February 12, 2009. This document was produced to Respondents in native format. **Filed under seal.**

196. Attached hereto as Exhibit 195 is a true and correct copy of an Associated Bank wire receipt dated February 13, 2009. [IR015352-IR015353]. **Filed under seal.**

197. Attached hereto as Exhibit 196 is a true and correct copy of the cover letter sent to the Receiver enclosing the final settlement payment from Douglas and Patricia Tieben. [IR028142]. **Filed under seal.**

198. Attached hereto as 197 is a true and correct copy of the First Status Report of Receiver R.J. Zayed, dated December 30, 2009 and filed in the case of *SEC v. Cook et al.*, 09-cv-3333 (Docket No. 100) (D. Minn.).

199. Attached hereto as Exhibit 198 are true and correct copies of documents entitled “Crown Forex Withdrawal Form” and dated June 1, 2009, June

10, 2009 and June 19, 2009. These documents were produced to Respondents in native format. **Filed under seal.**

200. In addition to Anderson, funds from seven other “investors” were deposited into the Basel account. *See* Hlavacek Ex. I, Ex. II (attached as exhibits to the Declaration of Scott J. Hlavacek, filed herewith in support of the Receiver’s motion for summary judgment). Each of these seven investors submitted recognized claims for the amount that he or she “invested.” *See* Jansen Ex. 11. Robert Freed appears as “Robert Erred” in Hlavacek Ex. I, but this is a typographical error. Attached as hereto as Exhibit 199 is a true and correct copy of the check for \$50,000.00 made payable to “Basel” and dated June 15, 2009. [IR042908]. **Filed under seal.**

201. Attached hereto as Exhibit 200 is a true and correct copy of Respondent Dot Anderson’s Second Supplemental Answers and Objections to the Receiver’s First Set of Interrogatories served on October 24, 2011.

202. Attached hereto as Exhibit 201 are true and correct copies of documents and emails addressed to Grant Grzybowski and Trevor Cook and dated July 9, 2009. These documents were produced to Respondents in native format. **Filed under seal.**

203. Attached hereto as Exhibit 202 is a true and correct copy of Exhibit 11 to the Declaration of Scott J. Hlavacek, dated November 20, 2009 and filed in the case of *SEC v. Cook et al.*, 09-cv-3333 (Docket No. 4-3) (D. Minn.).

204. Attached hereto as Exhibit 203 is a true and correct copy of the Memorandum Opinion and Order entered on January 27, 2010 in the case of *SEC v. Cook et al.*, 09-cv-3333 (Docket No. 178) (D. Minn.).

205. Each of the seven investors in the Basel Group LLC account, account number XXXXXX5214, (excluding Anderson) submitted a recognized claim of loss to the Receiver. *See* Jansen Ex. 11.

206. There have been five distributions of Receivership funds to victims of the fraud totaling \$3,814,479.04. Specifically, on or around November 10, 2010, the U.S. Probation Office distributed \$363,700.00 to victims identified in the criminal case against Trevor Cook; on or around November 10, 2010, the Receiver made a First Interim Distribution of \$2,250,000.00 in civil restitution to 668 claimants; and on or around August 2, 2011, the Receiver made a Second Interim Distribution of \$1,027,729.04 to 710 claimants. The Receiver also made two supplemental distributions between the First and Second Interim Distributions totaling \$173,050.92. In sum, victims of Cook's scheme have thus far received approximately 2.5 cents for every dollar Cook stole.

207. Attached hereto as Exhibit 204 is a true and correct copy of a Settlement and Stipulation dated August 25, 2010 and filed in the case of *SEC v. Cook et al.*, 09-cv-3333 (Docket No. 412) (D. Minn.).

I state under penalty of perjury that the foregoing is true and correct.

Dated: December 30, 2011

s/ Marlee A. Jansen
Marlee A. Jansen