

Dot M. Anderson - May 18, 2011
U.S. Commodity Futures Trading Commission v. Trevor Cook, et al.

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UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

COPY

U.S. COMMODITY FUTURES
TRADING COMMISSION,

Plaintiff,

vs. Case No. 09-cv-3332 MJD/FLN

TREVOR COOK, d/b/a CROWN
FOREX, LLC, PATRICK KILEY d/b/a
CROWN FOREX, LLC, UNIVERSAL
BROKERAGE FX and UNIVERSAL
BROKERAGE FX DIVERSIFIED, OXFORD
GLOBAL PARTNERS, LLC, OXFORD
GLOBAL ADVISORS, LLC, UNIVERSAL
BROKERAGE FX ADVISORS, LLC f/k/a
UBS DIVERSIFIED FX ADVISORS, LLC,
UNIVERSAL BROKERAGE FX
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX
GROWTH, L.P., UNIVERSAL BROKERAGE
FX MANAGEMENT, LLC f/k/a UBS
DIVERSIFIED FX MANAGEMENT, LLC
and UBS DIVERSIFIED GROWTH, LLC,

Defendants.

R.J. ZAYED,

Receiver.

DEPOSITION OF
DOT M. ANDERSON

Taken May 18, 2011 By Paula Richter, RPR

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1 BY MR. KOHLHEPP:

2 Q. So when you were thinking about investing,
3 did you ever visit the Van Dusen Mansion?

4 A. I visited the mansion with my daughter, but
5 I -- I believe I visited that before I
6 invested the money.

7 Q. Okay. Why did you go to the mansion with
8 your daughter?

9 A. Because my grandson worked there, and it was
10 a pretty special building called the castle.

11 Q. So this was before you had decided to
12 transfer the \$100,000?

13 A. Yes.

14 Q. Did you ever talk to Trevor Cook?

15 A. No, I didn't.

16 Q. Have you ever talked to Patrick Kiley?

17 A. No.

18 Q. Did you ever talk to or meet any other
19 employees besides Grant?

20 A. No, I did not.

21 Q. So you talked to Grant --

22 A. Yes.

23 Q. -- about this investment opportunity. And
24 then you decided you wanted to transfer
25 \$100,000 into this program?

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1 Q. Then you decide you want to invest some
2 money?

3 A. Yes.

4 Q. How did you decide what amount of money to
5 invest?

6 A. Well, I didn't want to take all of my savings
7 and put it in this, so that's why I chose
8 100,000.

9 Q. And then once you had decided to invest
10 100,000, you go to the bank and get a
11 cashier's check for \$102,000. Do you
12 remember why you got it for \$102,000?

13 A. Obviously Grant told me to do that.

14 Q. So after you give the cashier's check to
15 Grant, did you talk to him about your
16 investment after that?

17 A. No.

18 Q. Did you receive any statements in the mail
19 showing how much interest your investment had
20 earned or anything like that?

21 A. I may -- he may have given me one, but I'm
22 not sure.

23 Q. Okay. Did you ever ask Grant about the
24 status of your investment after you gave
25 him --

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1 A. No, I didn't.

2 Q. -- the check for \$102,000?

3 A. No, I did not.

4 Q. Okay. So you invested on June 15th of 2009.

5 Could you just walk me through how you came
6 to get the money back?

7 A. Pardon?

8 Q. Could you walk me through how you came to get
9 the money out?

10 A. I called Grant on the telephone.

11 Q. Do you remember when this was -- the date?

12 A. No, I do not remember the exact date.

13 Q. Do you remember approximately?

14 A. Perhaps the first part of the month of July.

15 Q. This would be July 2009?

16 A. Yes.

17 Q. So this is several weeks after you invested?

18 A. I believe I invested June 15th and -- and
19 took -- withdrew the money something like
20 July 5th or something, which would make it
21 about three weeks.

22 Q. Okay. So you said you called Grant?

23 A. Yes.

24 Q. Why did you call Grant?

25 A. To see if I could get my money back out.