

---

---

UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES  
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/FLN

v.

TREVOR COOK d/b/a CROWN  
FOREX, LLC, PATRICK KILEY d/b/a  
CROWN FOREX, LLC, UNIVERSAL  
BROKERAGE FX and UNIVERSAL  
BROKERAGE FX DIVERSIFIED, OXFORD  
GLOBAL PARTNERS, LLC, OXFORD  
GLOBAL ADVISORS, LLC, UNIVERSAL  
BROKERAGE FX ADVISORS, LLC f/k/a  
UBS DIVERSIFIED FX ADVISORS, LLC,  
UNIVERSAL BROKERAGE FX  
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX  
GROWTH L.P., UNIVERSAL BROKERAGE  
FX MANAGEMENT, LLC f/k/a UBS  
DIVERSIFIED FX MANAGEMENT, LLC  
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

---

UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES  
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/FLN

Plaintiff(s)

v.

TREVOR G. COOK,  
PATRICK J. KILEY,  
UBS DIVERSIFIED GROWTH, LLC,  
UNIVERSAL BROKERAGE FX  
MANAGEMENT, LLC,  
OXFORD GLOBAL ADVISORS, LLC,  
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,  
CROWN FOREX, LLC,  
MARKET SHOT, LLC,  
PFG COIN AND BULLION,  
OXFORD DEVELOPERS, S.A.,  
OXFORD FX GROWTH, L.P.,  
OXFORD GLOBAL MANAGED  
FUTURES FUND, L.P., UBS DIVERSIFIED  
FX ADVISORS, LLC, UBS DIVERSIFIED  
FX GROWTH, L.P., UBS DIVERSIFIED  
FX MANAGEMENT, LLC, CLIFFORD  
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

---

**SUPPLEMENTAL ORDER CONCERNING ORDER APPROVING INTERIM DISTRIBUTION PLAN AND FINALIZATION PROCEDURES**

On November 1, 2010, the Court entered an Order Approving Interim Distribution Plan and Claim Finalization Procedures [SEC v. Cook; Docket 556; CFTC v. Cook, Docket No. 514]. Paragraph 7 of that order precluded the Receiver from making an interim distribution to employee investors. Upon reconsideration of the issue, the Court hereby amends Paragraph 7 as follows:

The last sentence of paragraph 7 “Employee investors shall not be included in the Receiver’s civil interim-distribution” is hereby stricken and is hereby replaced by the following:

Upon verification by the Receiver of the losses claimed by the employee investors, without any deduction for employee compensation, the Receiver shall make an interim distribution to those employee investors from the Receivership bank account at the same rate and in the same manner as the 668 investors identified on the Receiver’s civil interim distribution list. All other aspects of the November 1, 2010 order remain in force.

SO ORDERED.

Date: January 12, 2011

s/Michael J. Davis  
Chief Judge Michael J. Davis  
United States District Court