

**UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA**

R.J. ZAYED, In His Capacity as Court-)	
Appointed Receiver for the Oxford)	Case No. 13-CV-00232
Global Partners, LLC, Universal)	(DSD-JSM)
Brokerage, FX and Other Receiver)	
Entities,)	
)	
Plaintiff,)	
)	
vs.)	
)	
ASSOCIATED BANK, N.A.,)	
)	
Defendant.)	
)	

NOTICE OF APPEAL

Pursuant to Fed. R. App. P. 3(c)(1) and 4(a), notice is hereby given that Plaintiff R.J. Zayed,¹ in his capacity as Court-appointed Receiver (the “Receiver”) for the estates of Trevor G. Cook, Patrick J. Kiley, Jason Bo-Alan Beckman, UBS Diversified Growth, LLC d/b/a UBS Diversified, Market Shot, LLC, Oxford Global Advisors, LLC, Oxford Global Partners, LLC, Oxford Global FX, LLC, Oxford FX Growth, L.P., and various other individuals and entities controlled by them (the “Receivership Entities”), appeals to the United States Court of Appeals

¹ On November 23, 2009, Chief Judge Michael J. Davis appointed R.J. Zayed as the Receiver for the Receivership Entities. On April 4, 2013, Mr. Zayed recused himself from this matter [Docket No. 34, at 1]. Chief Judge Davis authorized Tara Norgard, Brian Hayes and Russell Rigby “to act on behalf of the Receiver and in his capacity as the Receiver, with all powers appertaining thereto” and are referred to herein collectively as the Receiver. [*Id.* at 3].

for the Eighth Circuit from the judgment of the U.S. District Court for the District of Minnesota that was entered in this action on October 1, 2013 [Docket No. 51], including the order of dismissal entered on September 30, 2013 [Docket No. 50], and the order denying the Receiver's request to file a motion to reconsider entered on October 21, 2013 [Docket No. 54].²

Dated: October 29, 2013

Respectfully submitted,

CARLSON, CASPERS, VANDENBURGH,
LINDQUIST & SCHUMAN, P.A.

s/ Brian Hayes

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² The Receiver understands that 8th Cir. R. 3B requires the filing of Forms A and B with an appeal notice. We omit the Forms, however, because clerks at both the District of Minnesota and Eighth Circuit have instructed us that the Forms are no longer required nor available.

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