

**UNITED STATES DISTRICT COURT  
DISTRICT OF MINNESOTA**

R.J. ZAYED, in His Capacity as Court-Appointed Receiver for the Oxford Global Partners, LLC, Universal Brokerage, FX, and Other Receiver Entities,

Plaintiff,

Case No. 13-cv-00232 (DSD-SER)

vs.

ASSOCIATED BANK, N.A.,

Defendant.

**NOTICE OF APPEAL**

Pursuant to Fed. R. App. P. 3(c)(1) and 4(a), notice is hereby given that Plaintiff R.J. Zayed,<sup>1</sup> in his capacity as Court-appointed Receiver (the “Receiver”) for the estates of Trevor G. Cook, Patrick J. Kiley, Jason Bo-Alan Beckman, UBS Diversified, Market Shot, LLC, Oxford Global Advisors, LLC, Oxford Global Partners, LLC, Oxford Global FX, LCC, Oxford FX Growth, L.P., and various other individuals and entities controlled by them (the “Receivership Entities”), appeals to the United States Court of Appeals for the Eighth Circuit from the decision of the U.S. District Court for the District of Minnesota including the order of dismissal entered on January 31, 2017 (ECF No. 262).

Dated: January 31, 2017

Respectfully submitted,

/s/ William W. Flachsbart

Tara C. Norgard (MN Bar No. 307,683)  
Brian W. Hayes (MN Bar No. 294,585)  
CARLSON, CASPERS, VANDENBURGH,  
LINDQUIST & SCHUMAN, P.A.  
225 S. 6th Street, Suite 4200

<sup>1</sup> On November 23, 2009, Chief Judge Michael J. Davis appointed R.J. Zayed as the Receiver for the Receivership Entities. On April 3, 2013, Mr. Zayed recused himself for this matter (ECF No. 34, at 1). Chief Judge Davis authorized Tara Norgard and Brian Hayes “to act on behalf of the Receiver and in his capacity as the Receiver, with all powers appertaining thereto” and are referred to herein collectively as the Receiver. [*Id.* at 3].

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Minneapolis, MN 55402  
Telephone: (612) 436-9600  
Fax: (612) 436-9605  
Email: bhayes@carlsoncaspers.com

SPECIAL LITIGATION COUNSEL

Keith A. Vogt (*pro hac vice*)  
IL Bar No. 6207971  
1033 South Blvd, Suite 200  
Oak Park, IL 60302  
Telephone: (708) 203-4787  
Email: keith@vogtip.com

William W. Flachsbart (*pro hac vice*)  
IL Bar No. 6237069  
Robert P. Greenspoon (*pro hac vice*)  
IL Bar No. 6229357  
Michael R. La Porte (*pro hac vice*)  
IL Bar No. 6237510  
FLACHSBART & GREENSPOON, LLC  
333 N. Michigan Ave., 27th Floor  
Chicago, IL 60601-3901  
Telephone: (312) 551-9500  
Email: wwf@fg-law.com  
Email: rpg@fg-law.com  
Email: mrl@fg-law.com

D. Timothy McVey (*pro hac vice*)  
IL Bar. No. 6182134  
MCVEY & PARSKY, LLC  
30 N. LaSalle St., Ste. 2100  
Chicago, IL 60602-2575  
Telephone: (312) 551-2130  
Email: dtm@mcveyparsky-law.com

*Attorneys for Plaintiff R.J. Zayed, in his  
Capacity as Court-Appointed Receiver*