
UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/FLN

v.

TREVOR COOK d/b/a CROWN
FOREX, LLC, PATRICK KILEY d/b/a
CROWN FOREX, LLC, UNIVERSAL
BROKERAGE FX and UNIVERSAL
BROKERAGE FX DIVERSIFIED, OXFORD
GLOBAL PARTNERS, LLC, OXFORD
GLOBAL ADVISORS, LLC, UNIVERAL
BROKERAGE FX ADVISORS, LLC f/k/a
UBS DIVERSIFIED FX ADVISORS, LLC,
UNIVERSAL BROKERAGE FX
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX
GROWTH L.P., UNIVERSAL BROKERAGE
FX MANAGEMENT, LLC f/k/a UBS
DIVERSIFIED FX MANAGEMENT, LLC
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/FLN

Plaintiff(s)

v.

TREVOR G. COOK,
PATRICK J. KILEY,
UBS DIVERSIFIED GROWTH, LLC,
UNIVERSAL BROKERAGE FX
MANAGEMENT, LLC,
OXFORD GLOBAL ADVISORS, LLC,
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,
CROWN FOREX, LLC,
MARKET SHOT, LLC,
PFG COIN AND BULLION,
OXFORD DEVELOPERS, S.A.,
OXFORD FX GROWTH, L.P.,
OXFORD GLOBAL MANAGED
FUTURES FUND, L.P., UBS DIVERSIFIED
FX ADVISORS, LLC, UBS DIVERSIFIED
FX GROWTH, L.P., UBS DIVERSIFIED
FX MANAGEMENT, LLC, CLIFFORD
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

NOTICE OF MAILING OF RECEIVER'S SUPPLEMENTAL INTERIM DISTRIBUTION TO CLAIMANTS WITH ADJUSTED CLAIMS

PLEASE TAKE NOTICE that on May 5, 2011, as directed by Chief Judge Michael J. Davis, the Receiver mailed supplemental interim distribution checks to sixty-eight claimants based on adjustments made to their Recognized Claim Amounts since November 12, 2010. The purpose of the Receiver's supplemental interim distribution is to bring all claimants to the same *pro rata* distribution rate.

On November 1, 2010, the Court authorized the Receiver to make an interim distribution of \$2.25 million in civil restitution to 668 victims of this Ponzi scheme. *Order Approving Interim Distribution Plan and Claim Finalization Procedures*, SEC Docket No. 556 & CFTC Docket No. 514 (Nov. 1, 2010). On or around November 29, 2010, the Receiver distributed \$39,820.48 to employee investors, who had not been part of the earlier distribution, for their *pro rata* shares of the Receiver's interim distribution. *See Supplemental Order Concerning Order Approving Interim Distribution Plan and Finalization Procedures*, SEC Docket No. 656 & CFTC Docket No. 611 (Jan. 13, 2011). Many victims also received criminal restitution checks from the U.S. Probation Office on or around November 10, 2010, for their *pro rata* shares of the \$363,700 allocated from the Receiver for restitution in the associated criminal case against Trevor Cook. *See Order Approving Interim Distribution Plan and Claim Finalization Procedures*, SEC Docket No. 556 & CFTC Docket No. 514, ¶ 6. When combined, the civil and criminal distributions that were made last November, equal approximately 1.815 cents in restitution for every dollar lost to this Ponzi scheme.

The losses that were used to calculate each investor's *pro rata* share of the above-described interim distribution were based on the best information that was available to the Receiver at that time. *See Order Approving Interim Distribution Plan and Claim Finalization Procedures*, SEC Docket No. 556 & CFTC Docket No. 514; *see also Memorandum in Support of the Receiver's Motion for an Order Approving Interim Distribution Plan and Claim Finalization Procedures*, SEC Docket No. 538 & CFTC Docket No. 494, § II (Oct. 18, 2010). Since then, the Receiver has adjusted a number of claims based on information that was provided after the interim distribution numbers were calculated. In addition, the Receiver has now recognized a number of new claims that were submitted after the interim distribution but before the Claim Bar Date of January 14, 2011.

In total, there are sixty-eight adjusted or new claims that are being paid out as part of this supplemental interim distribution to bring them to the 1.815 cents per dollar distribution rate. On May 5, 2011, the Receiver distributed a total of \$133,230.44 to these sixty-eight claimants. Certain claimants have been excluded from the supplemental interim distribution pending further investigation by the Receiver.

Dated: May 10, 2011

Respectfully submitted,

s/ Tara C. Norgard

R.J. Zayed (MN Bar No. 309,849)

Brian W. Hayes (MN Bar No. 294,585)

Tara C. Norgard (MN Bar No. 307,683)

Russell J. Rigby (MN Bar No. 323,652)

Carlson, Caspers, Vandenburg & Lindquist

225 S. 6th Street, Suite 3200

Minneapolis, MN 55402

Telephone: (612) 436-9600

Facsimile: (612) 436-9605

Email: tnorgard@ccvl.com