



CARLSON, CASPERS, VANDENBURGH & LINDQUIST
INTELLECTUAL PROPERTY LITIGATION & COUNSELING

Peter K. Kohlhepp
Direct Dial: 612-436-9659
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March 3, 2011

VIA HAND DELIVERY

Gregory M. Erickson
Morhman & Kaardal
33 South Sixth, Suite 4100
Minneapolis, MN 55402

**Re: CFTC v. Cook, et al., Court File No.: 09-cv-3332 (D. Minn.)
SEC v. Cook, et al., Court File No.: 09-cv-3333 (D. Minn.)**

Dear Mr. Erickson:

Enclosed are the following documents:

1. Receiver's first production of documents and things, production numbers IR000001 – IR012470; and
2. Certificate of Service.

The production format is a CD containing a pdf file of each document produced.

Please note that documents numbered IR002491 – IR002544 consist of notes from the Receiver's interviews of the Investor Respondents. Document number IR002476 – IR002490 consists of notes from the Receiver's interview of Grant Grzybowski. As a courtesy, the Receiver provides the following information that may not be apparent from the face of the document:

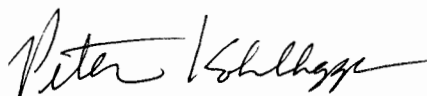
Document #	Document Date	Document Description	Document Author
IR002491	2/26/2010	Notes from Receiver's investigative interview of Walter Defiel	Rick Ostrom
IR002496	3/1/2010	Notes from Receiver's investigative interview of Steven and Pamela Cheney	Rick Ostrom

A Professional Corporation

Gregory M. Erickson
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IR002503	3/1/2010	Notes from Receiver's investigative interview of Larry Hopfenspirger	Rick Ostrom
IR002507	3/2/2010	Notes from Receiver's investigative interview of Michael Heise	David Austrum
IR002512	3/2/2010	Notes from Receiver's investigative interview of Reynold Sundstrom	David Austrum
IR002516	3/3/2010	Notes from Receiver's investigative interview of David Buysse	David Austrum
IR002518	3/9/2010	Notes from Receiver's investigative interview of Terry Frahm	David Austrum
IR002524	3/9/2010	Notes from Receiver's investigative interview of Steven Kautzman	David Austrum
IR002528	3/11/2010	Notes from Receiver's investigative interview of William Harris	Rick Ostrom
IR002532	3/11/2010	Notes from Receiver's investigative interview of George and Karen Morisset	Rick Ostrom
IR002535	3/23/2010	Notes from Receiver's investigative interview of Steven Fredell	David Austrum
IR002540	3/3/2010	Notes from Receiver's investigative interview of Dot Anderson (with Dave Anderson, Dot Anderson's son, also on phone)	Rick Ostrom
IR002542	3/1/2010	Notes from Receiver's investigative interview of Michael Hillesheim	Rick Ostrom
IR002476	1/6/2010 ¹	Notes from Receiver's investigative interview of Grant Grzybowski	Rick Ostrom

Very truly yours,



Peter M. Kohlhepp

PMK/dr
 Enclosures

¹ Please note that this document is erroneously dated January 6, 2009. The correct date is January 6, 2010.

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/FLN

v.

TREVOR COOK d/b/a CROWN
FOREX, LLC, PATRICK KILEY d/b/a
CROWN FOREX, LLC, UNIVERSAL
BROKERAGE FX and UNIVERSAL
BROKERAGE FX DIVERSIFIED, OXFORD
GLOBAL PARTNERS, LLC, OXFORD
GLOBAL ADVISORS, LLC, UNIVERAL
BROKERAGE FX ADVISORS, LLC f/k/a
UBS DIVERSIFIED FX ADVISORS, LLC,
UNIVERSAL BROKERAGE FX
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX
GROWTH L.P., UNIVERSAL BROKERAGE
FX MANAGEMENT, LLC f/k/a UBS
DIVERSIFIED FX MANAGEMENT, LLC
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/FLN

Plaintiff(s)

v.

TREVOR G. COOK,
PATRICK J. KILEY,
UBS DIVERSIFIED GROWTH, LLC,
UNIVERSAL BROKERAGE FX
MANAGEMENT, LLC,
OXFORD GLOBAL ADVISORS, LLC,
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,
CROWN FOREX, LLC,
MARKET SHOT, LLC,
PFG COIN AND BULLION,
OXFORD DEVELOPERS, S.A.,
OXFORD FX GROWTH, L.P.,
OXFORD GLOBAL MANAGED
FUTURES FUND, L.P., UBS DIVERSIFIED
FX ADVISORS, LLC, UBS DIVERSIFIED
FX GROWTH, L.P., UBS DIVERSIFIED
FX MANAGEMENT, LLC, CLIFFORD
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

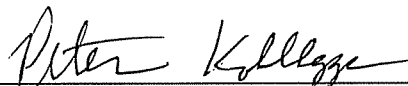
RECEIVER'S NOTICE OF DEPOSITION OF RESPONDENT
CYNTHIA HILLESHEIM

TO:

William F. Mohrman, Esq.
MOHRMAN & KAARDAL, P.A.
33 South Sixth Street, Suite 4100
Minneapolis, MN 55402

PLEASE TAKE NOTICE that, pursuant to Rules 26 and 30 of the Federal Rules of Civil Procedure and the Order Allowing Summary Proceedings (SEC Docket No. 380), the Petitioner, Receiver R.J. Zayed, will take the deposition on oral testimony of Cynthia Hillesheim at 9:00 a.m. on April 25, 2011 at the offices of Carlson, Caspers, Vandenburg, and Lindquist, 225 South Sixth Street, Suite 3200, Minneapolis, Minnesota or such other time and place mutually agreed upon by counsel. The deposition will be recorded by stenographic means and may be recorded by video before an officer authorized to administer oaths. You are invited to attend and cross-examine.

DATE: April 1, 2011

By: 
R.J. Zayed (MN Bar No. 309,849)
Tara C. Norgard (MN Bar No. 307,683)
Marlee A. Jansen (MN Bar No. 389,428)
Peter M. Kohlhepp (MN Bar No. 390,454)
Carlson, Caspers, Vandenburg & Lindquist
225 S. 6th Street, Suite 3200
Minneapolis, MN 55402
Telephone: (612) 436-9600
Facsimile: (612) 436-9605
Email: pkohlhepp@ccvl.com

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/FLN

v.

TREVOR COOK d/b/a CROWN
FOREX, LLC, PATRICK KILEY d/b/a
CROWN FOREX, LLC, UNIVERSAL
BROKERAGE FX and UNIVERSAL
BROKERAGE FX DIVERSIFIED, OXFORD
GLOBAL PARTNERS, LLC, OXFORD
GLOBAL ADVISORS, LLC, UNIVERAL
BROKERAGE FX ADVISORS, LLC f/k/a
UBS DIVERSIFIED FX ADVISORS, LLC,
UNIVERSAL BROKERAGE FX
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX
GROWTH L.P., UNIVERSAL BROKERAGE
FX MANAGEMENT, LLC f/k/a UBS
DIVERSIFIED FX MANAGEMENT, LLC
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/FLN

Plaintiff(s)

v.

TREVOR G. COOK,
PATRICK J. KILEY,
UBS DIVERSIFIED GROWTH, LLC,
UNIVERSAL BROKERAGE FX
MANAGEMENT, LLC,
OXFORD GLOBAL ADVISORS, LLC,
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,
CROWN FOREX, LLC,
MARKET SHOT, LLC,
PFG COIN AND BULLION,
OXFORD DEVELOPERS, S.A.,
OXFORD FX GROWTH, L.P.,
OXFORD GLOBAL MANAGED
FUTURES FUND, L.P., UBS DIVERSIFIED
FX ADVISORS, LLC, UBS DIVERSIFIED
FX GROWTH, L.P., UBS DIVERSIFIED
FX MANAGEMENT, LLC, CLIFFORD
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

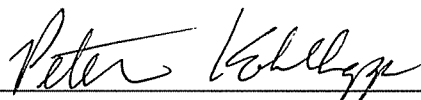
RECEIVER'S NOTICE OF DEPOSITION OF RESPONDENT
WALTER DEFIEL

TO:

William F. Mohrman, Esq.
MOHRMAN & KAARDAL, P.A.
33 South Sixth Street, Suite 4100
Minneapolis, MN 55402

PLEASE TAKE NOTICE that, pursuant to Rules 26 and 30 of the Federal Rules of Civil Procedure and the Order Allowing Summary Proceedings (SEC Docket No. 380), the Petitioner, Receiver R.J. Zayed, will take the deposition on oral testimony of Walter Defiel at 9:00 a.m. on April 19, 2011 at the offices of Carlson, Caspers, Vandenburg, and Lindquist, 225 South Sixth Street, Suite 3200, Minneapolis, Minnesota or such other time and place mutually agreed upon by counsel. The deposition will be recorded by stenographic means and may be recorded by video before an officer authorized to administer oaths. You are invited to attend and cross-examine.

DATE: April 1, 2011

By: 
R.J. Zayed (MN Bar No. 309,849)
Tara C. Norgard (MN Bar No. 307,683)
Marlee A. Jansen (MN Bar No. 389,428)
Peter M. Kohlhepp (MN Bar No. 390,454)
Carlson, Caspers, Vandenburg & Lindquist
225 S. 6th Street, Suite 3200
Minneapolis, MN 55402
Telephone: (612) 436-9600
Facsimile: (612) 436-9605
Email: pkohlhepp@ccvl.com

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

U.S. COMMODITY FUTURES
TRADING COMMISSION,

Plaintiff(s)

Case No: 09-cv-3332 MJD/FLN

v.

TREVOR COOK d/b/a CROWN
FOREX, LLC, PATRICK KILEY d/b/a
CROWN FOREX, LLC, UNIVERSAL
BROKERAGE FX and UNIVERSAL
BROKERAGE FX DIVERSIFIED, OXFORD
GLOBAL PARTNERS, LLC, OXFORD
GLOBAL ADVISORS, LLC, UNIVERSAL
BROKERAGE FX ADVISORS, LLC f/k/a
UBS DIVERSIFIED FX ADVISORS, LLC,
UNIVERSAL BROKERAGE FX
GROWTH, L.P. f/k/a UBS DIVERSIFIED FX
GROWTH L.P., UNIVERSAL BROKERAGE
FX MANAGEMENT, LLC f/k/a UBS
DIVERSIFIED FX MANAGEMENT, LLC
and UBS DIVERSIFIED GROWTH, LLC,

Defendant(s)

R.J. ZAYED,

Receiver.

UNITED STATES DISTRICT COURT
DISTRICT OF MINNESOTA

UNITED STATES SECURITIES
AND EXCHANGE COMMISSION,

Case No: 09-cv-3333 MJD/FLN

Plaintiff(s)

v.

TREVOR G. COOK,
PATRICK J. KILEY,
UBS DIVERSIFIED GROWTH, LLC,
UNIVERSAL BROKERAGE FX
MANAGEMENT, LLC,
OXFORD GLOBAL ADVISORS, LLC,
and OXFORD GLOBAL PARTNERS, LLC,

Defendants

and

BASEL GROUP, LLC,
CROWN FOREX, LLC,
MARKET SHOT, LLC,
PFG COIN AND BULLION,
OXFORD DEVELOPERS, S.A.,
OXFORD FX GROWTH, L.P.,
OXFORD GLOBAL MANAGED
FUTURES FUND, L.P., UBS DIVERSIFIED
FX ADVISORS, LLC, UBS DIVERSIFIED
FX GROWTH, L.P., UBS DIVERSIFIED
FX MANAGEMENT, LLC, CLIFFORD
BERG, and ELLEN BERG,

Relief Defendants.

R.J. ZAYED,

Receiver.

RECEIVER'S NOTICE OF DEPOSITION OF RESPONDENT TERRY FRAHM

TO:

William F. Mohrman, Esq.
MOHRMAN & KAARDAL, P.A.
33 South Sixth Street, Suite 4100
Minneapolis, MN 55402

PLEASE TAKE NOTICE that, pursuant to Rules 26 and 30 of the Federal Rules of Civil Procedure and the Order Allowing Summary Proceedings (SEC Docket No. 380), the Petitioner, Receiver R.J. Zayed, will take the deposition on oral testimony of Terry Frahm at 9:00 a.m. on April 20, 2011 at the offices of Carlson, Caspers, Vandenburg, and Lindquist, 225 South Sixth Street, Suite 3200, Minneapolis, Minnesota or such other time and place mutually agreed upon by counsel. The deposition will be recorded by stenographic means and may be recorded by video before an officer authorized to administer oaths. You are invited to attend and cross-examine.

DATE: April 1, 2011

By: 
R.J. Zayed (MN Bar No. 309,849)
Tara C. Norgard (MN Bar No. 307,683)
Marlee A. Jansen (MN Bar No. 389,428)
Peter M. Kohlhepp (MN Bar No. 390,454)
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Minneapolis, MN 55402
Telephone: (612) 436-9600
Facsimile: (612) 436-9605
Email: pkohlhepp@ccvl.com